## Remarks on the sobriety of Scott topology and weak topology on posets

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Abstract. We give some necessary and sufficient conditions for the Scott topology on a complete lattice to be sober, and a sufficient condition for the weak topology on a poset to be sober. These generalize the corresponding results in [1], [2] and [4].

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## 1. Preliminaries

Let X be a  $T_0$  space. Then there is an induced partial order defined by setting  $x \leq y$  if and only if  $x \in cl\{y\}$ . Conversely, any partial order on X can be deduced in this way. In fact, if  $(L, \leq)$  is a partially ordered set (briefly poset), we define the Alexandroff topology A(L) to be the collection of all upper sets in L (i.e. sets U satisfying  $x \in U$  and  $x \leq y$  implies  $y \in U$ ), and the weak topology W(L) to be the smallest topology for which all sets of the form  $\downarrow x$  are closed. A topology on L is said to be compatible if it induces the given partial order. It is well known that a topology  $\Omega$  on L is compatible if and only if

 $W(L) \subset \Omega \subset A(L).$ 

Let L, M be two posets and  $f: L \to M$  an isotone map. Then  $f: (L, A(L)) \to (M, A(M))$  is continuous. If we do not distinguish (L, A(L)) and A(L), then A is a functor from the category *POSET* of posets and isotone maps to the category  $T_0 TOP$  of  $T_0$  topological spaces and continuous maps.

**Lemma 1.** The assignment  $P: X \mapsto (X, \leq)$  defines a functor from the category  $T_0 TOP$  to the category POSET (where  $\leq$  is the induced partial order) which is a right adjoint to the functor A.

**PROOF:** It suffices to show that any continuous map  $f : A(L) \to X$  factors uniquely through  $i : A(P(X)) \to X$  by an isotone map  $\overline{f} : L \to X$  for a  $T_0$ 

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topological space X and a poset L. But this is clear since f preserves order and  $\bar{f} = f$ .

We call a  $T_0$  space X an Alexandroff space if its topology coincides with the Alexandroff topology for the induced partial order. It is easy to show that X is a Alexandroff space if and only if its topology is closed under arbitrary meets if and only if each point of X has a smallest open neighborhood.

**Proposition 1.** The category ATOP of Alexandroff topological spaces and continuous maps is isomorphic to the category POSET.

## 2. Main results

Let L be a poset. It is well known that if  $\Omega$  is a sober topology on L inducing the given order then  $W(L) \subset \Omega \subset \sigma(L)$ , where  $\sigma(L)$  is the Scott topology on L. In [3], J. Isbell showed that there is a complete lattice for which the Scott topology on it is not sober. In [4], it was shown that if L is a complete lattice such that  $\sigma(L)$  is a continuous lattice then the Scott topology on L is sober. In [1], J. Isbell showed that a  $T_0$  topological complete lattice is sober. We give some necessary and sufficient conditions for the Scott topology on a complete lattice to be sober.

Let X be a  $T_0$  space. We call X a weakly Scott topological space if its topology is contained in the Scott topology and X is a complete lattice for the induced partial order. Every complete lattice endowed with the weak topology is a weakly Scott topological space. If  $x \in X$ , a class of open sets  $\Psi$  of X is said to be a prime open neighborhood basis of x if for any prime open neighborhood P of x there is a  $Q \in \Psi$  such that  $x \in Q \subset P$ . A map  $f: X \to Y$  is said to be primal continuous if for any prime open set P of Y,  $f^{-1}(P)$  is an open subset of X.

**Proposition 2.** Let X be a weakly Scott topological space. The following conditions are equivalent:

- (a) X is sober;
- (b) for each x, y ∈ X and z = x ∨ y, the set Ψ<sub>z</sub> = {P ∩ Q | P is a prime open neighborhood of x, Q is a prime open neighborhood of y} is a prime open neighborhood basis of z;
- (c) for every set I, the I-indexed supremum map sup :  $X^I \to X$  is primal continuous;
- (d) the supremum map sup :  $X \times X \to X$  is primal continuous.

PROOF: (a)  $\Rightarrow$  (b): If X is sober, then any prime open set has the form  $X \setminus \{t\}^- = X \setminus (\downarrow t)$ , so if  $z = x \lor y \in U$  for some prime open set U, we may assume  $x \neq \bot$ ,  $y \neq \bot$ , where  $\bot$  is the least element. Then we have either  $x \in U$  or  $y \in U$ . Assuming  $x \in U$ , then U is a prime open neighborhood of x and  $X \setminus \{\bot\}$  is a prime open neighborhood of  $y, U \cap (X \setminus \{\bot\}) \subset U$ .

(b)  $\Rightarrow$  (c): Let P be a prime open set of X. If  $\bigvee_{i \in I} x_i \in P$ , there exist finitely many members  $x_{i_1}, \ldots, x_{i_n}$ , such that  $x_{i_1} \vee \cdots \vee x_{i_n} \in P$  since P is open in

the Scott topology. By (b), we have prime open sets  $P_1, \ldots, P_n$  with  $x_{i_k} \in P_k$ ,  $k = 1, \ldots, n$ , such that  $P_1 \cap \cdots \cap P_n \subset P$ , i.e.  $P_1 \vee \cdots \vee P_n \subset P$ , so  $\prod_{i \in I} \bar{P}_i$  is an open neighborhood of  $(x_i)$  and  $\bigvee \bar{P}_i \subset P$ , where  $\bar{P}_j = P_j$  for  $j = 1, \ldots, n$ ,  $\bar{P}_i = X_i$  otherwise.

(c)  $\Rightarrow$  (d): Clear.

(d)  $\Rightarrow$  (a): Let A be an irreducible closed set of X. If A is directed, then  $\sup A \in A, A = \bigcup \sup A$ . So we need only to show that A is directed.

Let  $a, b \in A$ . If  $a \lor b \in X \setminus A$  then by (d), we have open sets U, V with  $a \in U$ ,  $b \in V$ , and  $U \lor V \subset X \setminus A$ , i.e.  $U \cap V \subset X \setminus A$ . Thus  $U \subset X \setminus A$  or  $V \subset X \setminus A$ . This shows  $a \in X \setminus A$  or  $b \in X \setminus A$ , a contradiction. So  $a \lor b \in A$ , A is directed.

**Corollary 1.** Let L be a complete lattice. Then the following conditions are equivalent:

- (a) the Scott topology on L is sober;
- (b) for any a, b ∈ L, a ∨ b = c, the set Ψ<sub>c</sub> = {P ∨ Q | P is a prime open neighborhood of a, Q is a prime open neighborhood of b} is a prime open neighborhood basis of c;
- (c) for each set I, the I-indexed supremum map sup :  $L^I \to L$  is primal continuous;
- (d) the supremum map sup :  $L \times L \rightarrow L$  is primal continuous.

Let X be a  $T_0$  topological space. We call X a primal topological complete sup-semi-lattice if X is a complete lattice for its induced partial order and the supremum map sup :  $X^I \to X$  is primal continuous for any indexed set I.

Lemma 2. Every primal topological complete sup-semi-lattice is sober.

PROOF: Let X be a primal topological complete sup-semi-lattice, A an irreducible closed set of X, sup A = a. If  $a \in X \setminus A$ , then  $\sup^{-1}(X \setminus A)$  is an open neighborhood of  $(x)_{x \in A}$  by the primal continuity of supremum map  $X^A \to X$ , thus there are finitely many members  $a_1, \ldots, a_n$  of A and open sets  $U_1, \ldots, U_n$  with  $x_i \in U_i$ ,  $i = 1, \ldots, n$ , such that  $U_1 \times \cdots \times U_n \times X^{\{x \mid x \in A, x \neq a_i, i = 1, \ldots, n\}} \subset \sup^{-1}(X \setminus A)$ , so  $U_1 \cap \cdots \cap U_n = U_1 \lor \cdots \lor U_n \subset X \setminus A$ , i.e.  $A \subset (X \setminus U_1) \cup \cdots \cup (X \setminus U_n)$ . There must be a  $U_i$  such that  $A \subset X \setminus U_i$ . Then  $a_i \in A$  but  $a_i \notin U_i$ , a contradiction.

Let L be a poset. It is well known that if there is a compatible sober topology on L, then L is directed complete. In view of Lemma 2, we have the following result.

**Proposition 3.** Let L be a lattice with a compatible topology. Then L is a sober topological space if and only if L is a primal topological complete sup-semi-lattice.

In the end of this note, we give a sufficient condition for the weak topology on a poset to be sober. This generalizes the corresponding results in [2]. In [5],

 $\Box$ 

P.T. Johnstone showed that there is no compatible sober topology on a directed complete poset. In [2], R.-E. Hoffmann showed that the weak topology is sober for a complete lattice.

Let *L* be a poset. We call *L* a weakly complete poset if  $\forall A \subset L, A \neq \emptyset$ , there are finite many members  $s_1, \ldots, s_n$  of *L* such that  $\bigcap \{ \downarrow a \mid a \in A \} = \downarrow s_1 \cup \cdots \cup \downarrow s_n$ . A poset with nonempty meets is a weakly complete poset, especially every complete lattice is weakly complete, but the converse is not true.

**Example 1.** Let  $L = \{a, b, c, d, e\}$ . The partial order on L is defined by  $a \le a$ ,  $b \le b, c \le a, b, c, d \le a, b, d, e \le a, b, c, d, e$ . Then L is a weakly complete poset, but  $a \land b$  does not exist.

**Proposition 4.** Let L be a weakly complete poset. Then (L, W(L)) is sober.

PROOF: Let A be an irreducible closed set of (L, W(L)). A can be expressed as  $A = \bigcap \{ \downarrow s_1 \cup \cdots \cup \downarrow s_{n_s} \mid s \in S, n_s \in \mathbb{Z} \}$ . If there is a  $p \in S$  with

$$\bigcap_{s \neq p} \{\downarrow s_1 \cup \dots \cup \downarrow s_{n_s}\} \cap \downarrow p_j \neq A, \quad j = 1, \dots, n_p$$

then

$$A = (\bigcap_{s \neq p} \{\downarrow s_1 \cup \dots \cup \downarrow s_{n_s}\} \cap \downarrow p_1) \cup \dots \cup (\bigcap_{s \neq p} \{\downarrow s_1 \cup \dots \cup \downarrow s_{n_s}\} \cap \downarrow p_{n_p}),$$

contradicting the irreducibility of A. So for each  $p \in S$ , there is a  $p_{j_p} \in L$  such that  $(\bigcap_{s \neq p} \{\downarrow s_1 \cup \cdots \cup \downarrow s_{n_s}\}) \cap \downarrow p_{j_p} = A$ . Then we have

$$A \subset \bigcap_{p \in S} \downarrow p_{j_p} \subset \bigcap \{ \downarrow s_1 \cup \dots \cup \downarrow s_{n_s} \mid n_s \in \mathbb{Z}, s \in S \} = A,$$

so  $A = \bigcap_{p \in S} \downarrow p_{j_p}$ . If L is weakly complete, then there are finite many members  $a_1, \ldots, a_n$  such that  $A = \downarrow a_1 \cup \cdots \cup \downarrow a_n$ . But A is irreducible, so there must be an  $a_i, 1 \leq i \leq n$ , such that  $A = \downarrow a_i$ .

The weak completeness is not necessary for sobriety of posets.

**Example 2.** Let  $A = \coprod_{i \in \mathbb{Z}} 2_i$  be the disjoint union of copies of two-element sets  $2 = \{0, 1\}$  and let  $B = \mathbb{Z}$  be the set of natural numbers. Let  $L = A \cup B \cup \{\bot\}$  be partially ordered by

$$x \leq y$$
 if and only if either  $x \in B, y \in \prod_{i \geq x} 2_i$ , or  $x = y$ , or  $x = \bot$ .

Then it is not difficult to show that L is a directed complete poset, the weak topology and Scott topology on L are both sober, but L is not weakly complete.

**Question.** Characterize those posets such that the weak topology on them is sober.

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