

G_δ -modification of compacta and cardinal invariants

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Abstract. Given a space X , its G_δ -subsets form a basis of a new space X_ω , called the G_δ -modification of X . We study how the assumption that the G_δ -modification X_ω is homogeneous influences properties of X . If X is first countable, then X_ω is discrete and, hence, homogeneous. Thus, X_ω is much more often homogeneous than X itself. We prove that if X is a compact Hausdorff space of countable tightness such that the G_δ -modification of X is homogeneous, then the weight $w(X)$ of X does not exceed 2^ω (Theorem 1). We also establish that if a compact Hausdorff space of countable tightness is covered by a family of G_δ -subspaces of the weight $\leq c = 2^\omega$, then the weight of X is not greater than 2^ω (Theorem 4). Several other related results are obtained, a few new open questions are formulated. Fedorchuk's hereditarily separable compactum of the cardinality greater than $c = 2^\omega$ is shown to be G_δ -homogeneous under CH. Of course, it is not homogeneous when given its own topology.

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Let \mathcal{T} be a topology on a set X . Then the family of all G_δ -subsets of X is a base of a new topology on X , denoted by \mathcal{T}_ω and called the G_δ -modification of \mathcal{T} . The space (X, \mathcal{T}_ω) is also denoted by X_ω and is called the G_δ -modification of the space (X, \mathcal{T}) . Clearly, the G_δ -modification X_ω of any topological space is a P -space, that is, every G_δ -subset of X_ω is open in X_ω .

In general, the space (X, \mathcal{T}_ω) is very different from the space (X, \mathcal{T}) . Many properties of (X, \mathcal{T}) , such as compactness, Lindelöfness, paracompactness are easily lost under G_δ -modifications. On the other hand, properties of the space can greatly improve under the operation of G_δ -modification. For example, if (X, \mathcal{T}) is first countable, then the space (X, \mathcal{T}_ω) is discrete. Thus, no matter which first countable space (X, \mathcal{T}) we take, the resulting space (X, \mathcal{T}_ω) will be metrizable, zero-dimensional, Čech-complete and homogeneous! We see that the difference in properties between the spaces (X, \mathcal{T}) and (X, \mathcal{T}_ω) can indeed be tremendous!

Some interesting facts on G_δ -modifications and on P -spaces were established in [12], where also a survey of what is known in this direction is given. See also [11].

It is our goal in this article to show that homogeneity of G_δ -modification has a deep influence on the structure of the space itself and on the relationship between its cardinal invariants. Our main result in this direction (Theorem 1 below) is inspired by R. de la Vega's recent result that the weight of any homogeneous compact Hausdorff space of countable tightness is $\leq 2^\omega$. We generalize de la Vega's theorem as follows:

Theorem 1. *Let X be a compact Hausdorff space of countable tightness such that the G_δ -modification X_ω of X is homogeneous. Then the weight $w(X)$ of X , as well as the weight of X_ω , is not greater than 2^ω .*

PROOF: We claim that there is a non-empty open subspace U of X_ω such that $w(U) \leq 2^\omega$. Indeed, since X is a non-empty compact Hausdorff space of countable tightness, there exists a non-empty G_δ -subset U of X such that the weight of the subspace U of X is not greater than 2^ω ([2], [1]). Then U is an open subspace of X_ω and the weight of the subspace U of X_ω is also not greater than 2^ω . Since X_ω is homogeneous, it follows that every point in X_ω has an open neighbourhood Ox in X_ω such that $w(Ox) \leq 2^\omega$.

According to a result of E.G. Pytkeev [14], the Lindelöf degree of the G_δ -modification of any compact Hausdorff space of countable tightness does not exceed 2^ω (see Theorem 4 in [14]). Therefore, $l(X_\omega) \leq 2^\omega$. Since the local weight of X_ω does not exceed 2^ω , it follows that there exists an open covering γ of X_ω such that $w(U) \leq 2^\omega$, for each $U \in \gamma$, and $|\gamma| \leq 2^\omega$. Fixing a base of cardinality $\leq 2^\omega$ in each $U \in \gamma$, and taking the union of these bases, we obtain a base of cardinality $\leq 2^\omega$ in X_ω . Thus, $w(X_\omega) \leq 2^\omega$. Since, X is a continuous image of X_ω , we have $nw(X) \leq w(X_\omega) \leq 2^\omega$. However, since X is compact, $w(X) = nw(X) \leq 2^\omega$ ([9]). \square

This theorem immediately implies that the cardinality of every first countable compact Hausdorff space does not exceed 2^ω [Arh2]. Indeed, the tightness of first countable spaces is countable, and, obviously, if the weight of a first countable Hausdorff space is $\leq 2^\omega$, then the cardinality of X is also not greater than 2^ω . Theorem 1 also implies de la Vega's result that the weight of any homogeneous compact Hausdorff space of countable tightness is $\leq 2^\omega$, since the G_δ -modification of a homogeneous space is homogeneous.

A space Y is *power-homogeneous* if Y^τ is homogeneous, for some $\tau > 0$ (see [4]). Weakening one of the assumptions in Theorem 1, we arrive at a weaker conclusion:

Theorem 2. *Let X be a compact Hausdorff space of countable tightness such that the G_δ -modification of X is power-homogeneous. Then the character of X is not greater than 2^ω .*

PROOF: Take any non-empty G_δ -subset Y of X . There exists a non-empty G_δ -subset U of Y such that the weight of the subspace U of the space X is not greater than 2^ω ([2], [1]). Then U is an open subspace of X_ω and the weight

of the subspace U of X_ω is also not greater than 2^ω . It follows that the set Z of all $x \in X$ such that the character of x in X_ω is not greater than 2^ω is dense in the space X_ω . Since X_ω is power-homogeneous and $Z \neq \emptyset$, it follows from Theorem 7 in [4] that the set M of all G_c -points in X_ω is closed. Obviously, $Z \subset M$. Therefore, $M = X$; thus, each $x \in X$ is a G_c -point in X_ω .

Fix an arbitrary $a \in X$. According to Pytkeev's theorem (see the proof of Theorem 1), the Lindelöf degree of X_ω is not greater than $c = 2^\omega$. Put $A = X \setminus \{a\}$. Since a is a G_c -point in X_ω , it follows that $l(A) \leq 2^\omega$, where A is considered as a subspace of X_ω . Since the identity mapping of X_ω onto X is continuous, we conclude that the Lindelöf degree of A , considered as a subspace of X , does not exceed 2^ω as well. This implies that a is a G_c -point in X . Since X is compact and Hausdorff, it follows that the character of X at a is not greater than 2^ω ([9]). \square

Theorem 3. *Let X be a sequential Hausdorff compact space such that the G_δ -modification of X is power-homogeneous. Then $|X| \leq 2^\omega$.*

PROOF: It follows from Theorem 2 that $\chi(X) \leq 2^\omega$. However, the cardinality of every sequential Hausdorff compact space such that $\chi(X) \leq 2^\omega$ does not exceed 2^ω (see [2]). \square

The last result generalizes Corollary 3.8 in [5] and an earlier result on the cardinality of homogeneous compact sequential spaces in [2].

The technique of G_δ -modification can be used to obtain some addition theorems for the weight that do not involve the assumption of homogeneity. In particular, we have:

Theorem 4. *Let X be a compact Hausdorff space of countable tightness, and suppose that X is covered by a family γ of G_δ -subsets such that the weight of P is not greater than 2^ω , for each $P \in \gamma$. Then the weight of X is not greater than 2^ω .*

PROOF: The proof is close to the proof of Theorem 1. Consider the G_δ -modification X_ω of X . The family γ is an open covering of X_ω , and the weight of each $P \in \gamma$, interpreted as a subspace of X_ω , is not greater than 2^ω . By Pytkeev's theorem (see the proof of Theorem 1), the Lindelöf degree of X_ω is not greater than $c = 2^\omega$. Therefore, the weight of X_ω is not greater than 2^ω (to get an appropriate base of X_ω , just take the union of the bases of cardinality $\leq 2^\omega$ of elements of γ). Since X is a continuous image of X_ω , we have $nw(X) \leq w(X_\omega) \leq 2^\omega$. However, X is compact. Hence, $w(X) = nw(X) \leq 2^\omega$. \square

For some results related to Theorem 4 see [15] and [6].

The assumption of countable tightness in the last statement can be replaced by some other conditions.

Theorem 5. *Let X be a scattered compact Hausdorff space covered by a family γ of G_δ -subsets such that the weight of P is not greater than 2^ω , for each $P \in \gamma$. Then the weight of X does not exceed 2^ω .*

PROOF: The Lindelöf degree of the G_δ -modification X_ω of the space X does not exceed ω ([13]). Since γ is an open covering of X_ω , we can assume that γ is countable. It follows that $w(X_\omega) \leq 2^\omega$, which implies that $nw(X) \leq w(X_\omega) \leq 2^\omega$. Finally, since X is compact, we have $w(X) = nw(X) \leq 2^\omega$. \square

The proof of the next result should be clear by now:

Theorem 6. *Let X be a scattered space. Then the G_δ -modification X_ω of X is power-homogeneous if and only if the pseudocharacter of X is countable (that is, if and only if the G_δ -modification of X is discrete).*

Problem 7. *Suppose that X is a compact Hausdorff space covered by a family γ of G_δ -subsets P such that the weight of P is not greater than 2^ω , for each $P \in \gamma$. Is the weight of X not greater than 2^ω ?*

Problem 8 (Arhangel'skii, Buzyakova). *Let X be a compact Hausdorff space of countable tightness such that the character of X does not exceed 2^ω . Is the weight of X not greater than 2^ω ?*

Consistently the answer to the last question is “yes”. Indeed, it was shown in [7] to be consistent with ZFC to assume that every compact Hausdorff space of countable tightness is sequential. It remains to apply the following result from [2]: the cardinality of every sequential Hausdorff compact space such that $\chi(X) \leq 2^\omega$ does not exceed 2^ω .

Closely related to Problem 8 is the following question: Let X be a compact Hausdorff space of countable tightness such that the G_δ -modification of X is homogeneous. Is $|X| \leq 2^\omega$? The answer to this question is independent of ZFC. Under Proper Forcing Axiom (PFA) (for the discussion of (PFA) see [8]) the answer is “yes”. In fact, we can prove a stronger statement:

Theorem 9. *Assume (PFA), and let X be a Hausdorff compact space of countable tightness such that the G_δ -modification of X is power-homogeneous. Then X is first countable (and hence, $|X| \leq 2^\omega$ and $w(X) \leq 2^\omega$).*

PROOF: A. Dow has shown in [Dow] that under (PFA) every non-empty compact Hausdorff space of countable tightness has a point of first countability. It follows easily from this result that, under (PFA), the set of isolated points is dense in the G_δ -modification X_ω of the compactum X .

Since X_ω is power-homogeneous, it follows from Theorem 7 in [4] that the set M of all G_δ -points in X_ω is closed. Therefore, $M = X$, that is, each $x \in X$ is a G_δ -point in X_ω . Since X_ω is a P -space, we conclude that the space X_ω is discrete. Hence, the pseudocharacter of the space X is countable. Since X is compact and Hausdorff, it follows that X is first countable. \square

On the other hand, we have the following result:

Theorem 10 (CH). *Let X be a hereditarily separable compact Hausdorff space without points of first countability. Then the G_δ -modification of X is homogeneous.*

This theorem will follow from a more general result below. Notice that Fedorchuk has constructed [10] a consistent example of a hereditarily separable, nowhere first countable, compact Hausdorff space X such that the cardinality of X is greater than 2^ω . In the model of Set-theory he considered (CH) was also satisfied.

Theorem 11 (CH). *Let X be a compact Hausdorff space of the weight ω_1 such that the character of X at each point is exactly ω_1 . Then the G_δ -modification X_ω of X is homeomorphic to the G_δ -modification of the compactum D^{ω_1} .*

Fix a set A of the cardinality $\omega_1 = c = 2^\omega$, give A the discrete topology, and let B be the G_δ -modification of the product space A^{ω_1} .

Claim 1: The G_δ -modification of D^{ω_1} is homeomorphic to the space B .

This is obvious.

By Claim 1, it is enough to prove that X_ω is homeomorphic to B . For that, we need the following lemma:

Lemma 12. *Let X be a non-scattered compact Hausdorff space. Then there exists a disjoint covering γ of X by non-empty closed G_δ -sets such that $|\gamma| = 2^\omega$.*

PROOF: Since X is not scattered, there exists a continuous mapping f of X onto the closed interval $I = [0, 1]$ (see [9]). Then $\gamma = \{f^{-1}(y) : 0 \leq y \leq 1\}$ is, clearly, the covering we are looking for. \square

Below we will need the following slightly stronger version of Lemma 12:

Lemma 13. *Let X be a non-scattered compact Hausdorff space and F_0 be a closed G_δ -subset of X . Then there exists a disjoint covering γ_1 of X by non-empty closed G_δ -sets such that $|\gamma_1| = 2^\omega$ and $F_0 = \bigcup \eta$, for some subfamily η of γ_1 .*

PROOF: We can fix a continuous real-valued function g on X such that $g^{-1}(0) = F_0$, since X is normal. Take also a disjoint covering γ of X by closed G_δ -subsets such that $|\gamma| = 2^\omega$ (this is possible by Lemma 12). Now let γ_1 be the family $\{g^{-1}(a) \cap P : a \in \mathbb{R}, P \in \gamma\} \setminus \{\emptyset\}$, where \mathbb{R} is the set of reals. Obviously, γ_1 is the covering we are looking for. \square

PROOF OF THEOREM 11: A standard construction by transfinite recursion along ω_1 , using (CH) and Lemmas 12 and 13, provides us with a transfinite sequence $\{\gamma_\alpha : \alpha < \omega_1\}$ of disjoint coverings of X by closed non-empty G_δ -subsets of X such that the following conditions are satisfied:

- 1) γ_β refines γ_α , whenever $\alpha < \beta < \omega_1$;

- 2) for each $P \in \gamma_\alpha$, the cardinality of the family $\eta_P = \{F \in \gamma_{\alpha+1} : F \subset P\}$ is ω_1 ;
- 3) the family $S = \bigcup\{\gamma_\alpha : \alpha < \omega_1\}$ is a network of the space X .

Observe that compactness of X and the above conditions ensure that the following condition is satisfied:

- 4) for every uncountable centered family ξ of elements of S , the intersection of ξ consists of exactly one point x_ξ , ξ is a network of X at x , and ξ is a base of the G_δ -modification X_ω at x .

Note, that elements of S are open-closed subsets of X_ω , and that if $\xi \subset S$ is countable, then either $\bigcap \xi = \emptyset$ or the cardinality of $\bigcap \xi$ is $c = \omega_1$.

The above properties of the family $\{\gamma_\alpha : \alpha < \omega_1\}$ allow to establish a homeomorphism between the space X_ω and the space B in an obvious routine way. \square

Corollary 14 (CH). *Let X be a compact Hausdorff space of the weight ω_1 such that the character of X at each point is exactly ω_1 . Then the G_δ -modification X_ω of X is homogeneous. Furthermore, X_ω is homeomorphic to a topological group.*

PROOF: Indeed, by Theorem 11 X_ω is homeomorphic to the G_δ -modification B of the compactum D^{ω_1} . However, the space B is homogeneous, since D^{ω_1} is homogeneous. Hence, X_ω is homogeneous as well. In fact, B is homeomorphic to a topological group, since D^{ω_1} is a topological group. \square

Problem 15. *Can (CH) be dropped in the above statement?*

The following long standing problems posed in [3], [1], [2] remain open:

Problem 16. *Is it true in ZFC that every homogeneous compact sequential space is first countable?*

Problem 17. *Is it true in ZFC that every homogeneous compact space of countable tightness is first countable?*

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