

## $G_\delta$ -modification of compacta and cardinal invariants

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*Abstract.* Given a space  $X$ , its  $G_\delta$ -subsets form a basis of a new space  $X_\omega$ , called the  $G_\delta$ -modification of  $X$ . We study how the assumption that the  $G_\delta$ -modification  $X_\omega$  is homogeneous influences properties of  $X$ . If  $X$  is first countable, then  $X_\omega$  is discrete and, hence, homogeneous. Thus,  $X_\omega$  is much more often homogeneous than  $X$  itself. We prove that if  $X$  is a compact Hausdorff space of countable tightness such that the  $G_\delta$ -modification of  $X$  is homogeneous, then the weight  $w(X)$  of  $X$  does not exceed  $2^\omega$  (Theorem 1). We also establish that if a compact Hausdorff space of countable tightness is covered by a family of  $G_\delta$ -subspaces of the weight  $\leq c = 2^\omega$ , then the weight of  $X$  is not greater than  $2^\omega$  (Theorem 4). Several other related results are obtained, a few new open questions are formulated. Fedorchuk's hereditarily separable compactum of the cardinality greater than  $c = 2^\omega$  is shown to be  $G_\delta$ -homogeneous under CH. Of course, it is not homogeneous when given its own topology.

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Let  $\mathcal{T}$  be a topology on a set  $X$ . Then the family of all  $G_\delta$ -subsets of  $X$  is a base of a new topology on  $X$ , denoted by  $\mathcal{T}_\omega$  and called the  $G_\delta$ -modification of  $\mathcal{T}$ . The space  $(X, \mathcal{T}_\omega)$  is also denoted by  $X_\omega$  and is called the  $G_\delta$ -modification of the space  $(X, \mathcal{T})$ . Clearly, the  $G_\delta$ -modification  $X_\omega$  of any topological space is a  $P$ -space, that is, every  $G_\delta$ -subset of  $X_\omega$  is open in  $X_\omega$ .

In general, the space  $(X, \mathcal{T}_\omega)$  is very different from the space  $(X, \mathcal{T})$ . Many properties of  $(X, \mathcal{T})$ , such as compactness, Lindelöfness, paracompactness are easily lost under  $G_\delta$ -modifications. On the other hand, properties of the space can greatly improve under the operation of  $G_\delta$ -modification. For example, if  $(X, \mathcal{T})$  is first countable, then the space  $(X, \mathcal{T}_\omega)$  is discrete. Thus, no matter which first countable space  $(X, \mathcal{T})$  we take, the resulting space  $(X, \mathcal{T}_\omega)$  will be metrizable, zero-dimensional, Čech-complete and homogeneous! We see that the difference in properties between the spaces  $(X, \mathcal{T})$  and  $(X, \mathcal{T}_\omega)$  can indeed be tremendous!

Some interesting facts on  $G_\delta$ -modifications and on  $P$ -spaces were established in [12], where also a survey of what is known in this direction is given. See also [11].

It is our goal in this article to show that homogeneity of  $G_\delta$ -modification has a deep influence on the structure of the space itself and on the relationship between its cardinal invariants. Our main result in this direction (Theorem 1 below) is inspired by R. de la Vega's recent result that the weight of any homogeneous compact Hausdorff space of countable tightness is  $\leq 2^\omega$ . We generalize de la Vega's theorem as follows:

**Theorem 1.** *Let  $X$  be a compact Hausdorff space of countable tightness such that the  $G_\delta$ -modification  $X_\omega$  of  $X$  is homogeneous. Then the weight  $w(X)$  of  $X$ , as well as the weight of  $X_\omega$ , is not greater than  $2^\omega$ .*

PROOF: We claim that there is a non-empty open subspace  $U$  of  $X_\omega$  such that  $w(U) \leq 2^\omega$ . Indeed, since  $X$  is a non-empty compact Hausdorff space of countable tightness, there exists a non-empty  $G_\delta$ -subset  $U$  of  $X$  such that the weight of the subspace  $U$  of  $X$  is not greater than  $2^\omega$  ([2], [1]). Then  $U$  is an open subspace of  $X_\omega$  and the weight of the subspace  $U$  of  $X_\omega$  is also not greater than  $2^\omega$ . Since  $X_\omega$  is homogeneous, it follows that every point in  $X_\omega$  has an open neighbourhood  $Ox$  in  $X_\omega$  such that  $w(Ox) \leq 2^\omega$ .

According to a result of E.G. Pytkeev [14], the Lindelöf degree of the  $G_\delta$ -modification of any compact Hausdorff space of countable tightness does not exceed  $2^\omega$  (see Theorem 4 in [14]). Therefore,  $l(X_\omega) \leq 2^\omega$ . Since the local weight of  $X_\omega$  does not exceed  $2^\omega$ , it follows that there exists an open covering  $\gamma$  of  $X_\omega$  such that  $w(U) \leq 2^\omega$ , for each  $U \in \gamma$ , and  $|\gamma| \leq 2^\omega$ . Fixing a base of cardinality  $\leq 2^\omega$  in each  $U \in \gamma$ , and taking the union of these bases, we obtain a base of cardinality  $\leq 2^\omega$  in  $X_\omega$ . Thus,  $w(X_\omega) \leq 2^\omega$ . Since,  $X$  is a continuous image of  $X_\omega$ , we have  $nw(X) \leq w(X_\omega) \leq 2^\omega$ . However, since  $X$  is compact,  $w(X) = nw(X) \leq 2^\omega$  ([9]).  $\square$

This theorem immediately implies that the cardinality of every first countable compact Hausdorff space does not exceed  $2^\omega$  [Arh2]. Indeed, the tightness of first countable spaces is countable, and, obviously, if the weight of a first countable Hausdorff space is  $\leq 2^\omega$ , then the cardinality of  $X$  is also not greater than  $2^\omega$ . Theorem 1 also implies de la Vega's result that the weight of any homogeneous compact Hausdorff space of countable tightness is  $\leq 2^\omega$ , since the  $G_\delta$ -modification of a homogeneous space is homogeneous.

A space  $Y$  is *power-homogeneous* if  $Y^\tau$  is homogeneous, for some  $\tau > 0$  (see [4]). Weakening one of the assumptions in Theorem 1, we arrive at a weaker conclusion:

**Theorem 2.** *Let  $X$  be a compact Hausdorff space of countable tightness such that the  $G_\delta$ -modification of  $X$  is power-homogeneous. Then the character of  $X$  is not greater than  $2^\omega$ .*

PROOF: Take any non-empty  $G_\delta$ -subset  $Y$  of  $X$ . There exists a non-empty  $G_\delta$ -subset  $U$  of  $Y$  such that the weight of the subspace  $U$  of the space  $X$  is not greater than  $2^\omega$  ([2], [1]). Then  $U$  is an open subspace of  $X_\omega$  and the weight

of the subspace  $U$  of  $X_\omega$  is also not greater than  $2^\omega$ . It follows that the set  $Z$  of all  $x \in X$  such that the character of  $x$  in  $X_\omega$  is not greater than  $2^\omega$  is dense in the space  $X_\omega$ . Since  $X_\omega$  is power-homogeneous and  $Z \neq \emptyset$ , it follows from Theorem 7 in [4] that the set  $M$  of all  $G_c$ -points in  $X_\omega$  is closed. Obviously,  $Z \subset M$ . Therefore,  $M = X$ ; thus, each  $x \in X$  is a  $G_c$ -point in  $X_\omega$ .

Fix an arbitrary  $a \in X$ . According to Pytkeev's theorem (see the proof of Theorem 1), the Lindelöf degree of  $X_\omega$  is not greater than  $c = 2^\omega$ . Put  $A = X \setminus \{a\}$ . Since  $a$  is a  $G_c$ -point in  $X_\omega$ , it follows that  $l(A) \leq 2^\omega$ , where  $A$  is considered as a subspace of  $X_\omega$ . Since the identity mapping of  $X_\omega$  onto  $X$  is continuous, we conclude that the Lindelöf degree of  $A$ , considered as a subspace of  $X$ , does not exceed  $2^\omega$  as well. This implies that  $a$  is a  $G_c$ -point in  $X$ . Since  $X$  is compact and Hausdorff, it follows that the character of  $X$  at  $a$  is not greater than  $2^\omega$  ([9]).  $\square$

**Theorem 3.** *Let  $X$  be a sequential Hausdorff compact space such that the  $G_\delta$ -modification of  $X$  is power-homogeneous. Then  $|X| \leq 2^\omega$ .*

PROOF: It follows from Theorem 2 that  $\chi(X) \leq 2^\omega$ . However, the cardinality of every sequential Hausdorff compact space such that  $\chi(X) \leq 2^\omega$  does not exceed  $2^\omega$  (see [2]).  $\square$

The last result generalizes Corollary 3.8 in [5] and an earlier result on the cardinality of homogeneous compact sequential spaces in [2].

The technique of  $G_\delta$ -modification can be used to obtain some addition theorems for the weight that do not involve the assumption of homogeneity. In particular, we have:

**Theorem 4.** *Let  $X$  be a compact Hausdorff space of countable tightness, and suppose that  $X$  is covered by a family  $\gamma$  of  $G_\delta$ -subsets such that the weight of  $P$  is not greater than  $2^\omega$ , for each  $P \in \gamma$ . Then the weight of  $X$  is not greater than  $2^\omega$ .*

PROOF: The proof is close to the proof of Theorem 1. Consider the  $G_\delta$ -modification  $X_\omega$  of  $X$ . The family  $\gamma$  is an open covering of  $X_\omega$ , and the weight of each  $P \in \gamma$ , interpreted as a subspace of  $X_\omega$ , is not greater than  $2^\omega$ . By Pytkeev's theorem (see the proof of Theorem 1), the Lindelöf degree of  $X_\omega$  is not greater than  $c = 2^\omega$ . Therefore, the weight of  $X_\omega$  is not greater than  $2^\omega$  (to get an appropriate base of  $X_\omega$ , just take the union of the bases of cardinality  $\leq 2^\omega$  of elements of  $\gamma$ ). Since  $X$  is a continuous image of  $X_\omega$ , we have  $nw(X) \leq w(X_\omega) \leq 2^\omega$ . However,  $X$  is compact. Hence,  $w(X) = nw(X) \leq 2^\omega$ .  $\square$

For some results related to Theorem 4 see [15] and [6].

The assumption of countable tightness in the last statement can be replaced by some other conditions.

**Theorem 5.** *Let  $X$  be a scattered compact Hausdorff space covered by a family  $\gamma$  of  $G_\delta$ -subsets such that the weight of  $P$  is not greater than  $2^\omega$ , for each  $P \in \gamma$ . Then the weight of  $X$  does not exceed  $2^\omega$ .*

PROOF: The Lindelöf degree of the  $G_\delta$ -modification  $X_\omega$  of the space  $X$  does not exceed  $\omega$  ([13]). Since  $\gamma$  is an open covering of  $X_\omega$ , we can assume that  $\gamma$  is countable. It follows that  $w(X_\omega) \leq 2^\omega$ , which implies that  $nw(X) \leq w(X_\omega) \leq 2^\omega$ . Finally, since  $X$  is compact, we have  $w(X) = nw(X) \leq 2^\omega$ .  $\square$

The proof of the next result should be clear by now:

**Theorem 6.** *Let  $X$  be a scattered space. Then the  $G_\delta$ -modification  $X_\omega$  of  $X$  is power-homogeneous if and only if the pseudocharacter of  $X$  is countable (that is, if and only if the  $G_\delta$ -modification of  $X$  is discrete).*

**Problem 7.** *Suppose that  $X$  is a compact Hausdorff space covered by a family  $\gamma$  of  $G_\delta$ -subsets  $P$  such that the weight of  $P$  is not greater than  $2^\omega$ , for each  $P \in \gamma$ . Is the weight of  $X$  not greater than  $2^\omega$ ?*

**Problem 8** (Arhangel'skii, Buzyakova). *Let  $X$  be a compact Hausdorff space of countable tightness such that the character of  $X$  does not exceed  $2^\omega$ . Is the weight of  $X$  not greater than  $2^\omega$ ?*

Consistently the answer to the last question is “yes”. Indeed, it was shown in [7] to be consistent with ZFC to assume that every compact Hausdorff space of countable tightness is sequential. It remains to apply the following result from [2]: the cardinality of every sequential Hausdorff compact space such that  $\chi(X) \leq 2^\omega$  does not exceed  $2^\omega$ .

Closely related to Problem 8 is the following question: Let  $X$  be a compact Hausdorff space of countable tightness such that the  $G_\delta$ -modification of  $X$  is homogeneous. Is  $|X| \leq 2^\omega$ ? The answer to this question is independent of ZFC. Under Proper Forcing Axiom (PFA) (for the discussion of (PFA) see [8]) the answer is “yes”. In fact, we can prove a stronger statement:

**Theorem 9.** *Assume (PFA), and let  $X$  be a Hausdorff compact space of countable tightness such that the  $G_\delta$ -modification of  $X$  is power-homogeneous. Then  $X$  is first countable (and hence,  $|X| \leq 2^\omega$  and  $w(X) \leq 2^\omega$ ).*

PROOF: A. Dow has shown in [Dow] that under (PFA) every non-empty compact Hausdorff space of countable tightness has a point of first countability. It follows easily from this result that, under (PFA), the set of isolated points is dense in the  $G_\delta$ -modification  $X_\omega$  of the compactum  $X$ .

Since  $X_\omega$  is power-homogeneous, it follows from Theorem 7 in [4] that the set  $M$  of all  $G_\delta$ -points in  $X_\omega$  is closed. Therefore,  $M = X$ , that is, each  $x \in X$  is a  $G_\delta$ -point in  $X_\omega$ . Since  $X_\omega$  is a  $P$ -space, we conclude that the space  $X_\omega$  is discrete. Hence, the pseudocharacter of the space  $X$  is countable. Since  $X$  is compact and Hausdorff, it follows that  $X$  is first countable.  $\square$

On the other hand, we have the following result:

**Theorem 10** (CH). *Let  $X$  be a hereditarily separable compact Hausdorff space without points of first countability. Then the  $G_\delta$ -modification of  $X$  is homogeneous.*

This theorem will follow from a more general result below. Notice that Fedorchuk has constructed [10] a consistent example of a hereditarily separable, nowhere first countable, compact Hausdorff space  $X$  such that the cardinality of  $X$  is greater than  $2^\omega$ . In the model of Set-theory he considered (CH) was also satisfied.

**Theorem 11** (CH). *Let  $X$  be a compact Hausdorff space of the weight  $\omega_1$  such that the character of  $X$  at each point is exactly  $\omega_1$ . Then the  $G_\delta$ -modification  $X_\omega$  of  $X$  is homeomorphic to the  $G_\delta$ -modification of the compactum  $D^{\omega_1}$ .*

Fix a set  $A$  of the cardinality  $\omega_1 = c = 2^\omega$ , give  $A$  the discrete topology, and let  $B$  be the  $G_\delta$ -modification of the product space  $A^{\omega_1}$ .

*Claim 1:* The  $G_\delta$ -modification of  $D^{\omega_1}$  is homeomorphic to the space  $B$ .

This is obvious.

By Claim 1, it is enough to prove that  $X_\omega$  is homeomorphic to  $B$ . For that, we need the following lemma:

**Lemma 12.** *Let  $X$  be a non-scattered compact Hausdorff space. Then there exists a disjoint covering  $\gamma$  of  $X$  by non-empty closed  $G_\delta$ -sets such that  $|\gamma| = 2^\omega$ .*

PROOF: Since  $X$  is not scattered, there exists a continuous mapping  $f$  of  $X$  onto the closed interval  $I = [0, 1]$  (see [9]). Then  $\gamma = \{f^{-1}(y) : 0 \leq y \leq 1\}$  is, clearly, the covering we are looking for.  $\square$

Below we will need the following slightly stronger version of Lemma 12:

**Lemma 13.** *Let  $X$  be a non-scattered compact Hausdorff space and  $F_0$  be a closed  $G_\delta$ -subset of  $X$ . Then there exists a disjoint covering  $\gamma_1$  of  $X$  by non-empty closed  $G_\delta$ -sets such that  $|\gamma_1| = 2^\omega$  and  $F_0 = \bigcup \eta$ , for some subfamily  $\eta$  of  $\gamma_1$ .*

PROOF: We can fix a continuous real-valued function  $g$  on  $X$  such that  $g^{-1}(0) = F_0$ , since  $X$  is normal. Take also a disjoint covering  $\gamma$  of  $X$  by closed  $G_\delta$ -subsets such that  $|\gamma| = 2^\omega$  (this is possible by Lemma 12). Now let  $\gamma_1$  be the family  $\{g^{-1}(a) \cap P : a \in \mathbb{R}, P \in \gamma\} \setminus \{\emptyset\}$ , where  $\mathbb{R}$  is the set of reals. Obviously,  $\gamma_1$  is the covering we are looking for.  $\square$

PROOF OF THEOREM 11: A standard construction by transfinite recursion along  $\omega_1$ , using (CH) and Lemmas 12 and 13, provides us with a transfinite sequence  $\{\gamma_\alpha : \alpha < \omega_1\}$  of disjoint coverings of  $X$  by closed non-empty  $G_\delta$ -subsets of  $X$  such that the following conditions are satisfied:

- 1)  $\gamma_\beta$  refines  $\gamma_\alpha$ , whenever  $\alpha < \beta < \omega_1$ ;

- 2) for each  $P \in \gamma_\alpha$ , the cardinality of the family  $\eta_P = \{F \in \gamma_{\alpha+1} : F \subset P\}$  is  $\omega_1$ ;
- 3) the family  $S = \bigcup\{\gamma_\alpha : \alpha < \omega_1\}$  is a network of the space  $X$ .

Observe that compactness of  $X$  and the above conditions ensure that the following condition is satisfied:

- 4) for every uncountable centered family  $\xi$  of elements of  $S$ , the intersection of  $\xi$  consists of exactly one point  $x_\xi$ ,  $\xi$  is a network of  $X$  at  $x$ , and  $\xi$  is a base of the  $G_\delta$ -modification  $X_\omega$  at  $x$ .

Note, that elements of  $S$  are open-closed subsets of  $X_\omega$ , and that if  $\xi \subset S$  is countable, then either  $\bigcap \xi = \emptyset$  or the cardinality of  $\bigcap \xi$  is  $c = \omega_1$ .

The above properties of the family  $\{\gamma_\alpha : \alpha < \omega_1\}$  allow to establish a homeomorphism between the space  $X_\omega$  and the space  $B$  in an obvious routine way.  $\square$

**Corollary 14 (CH).** *Let  $X$  be a compact Hausdorff space of the weight  $\omega_1$  such that the character of  $X$  at each point is exactly  $\omega_1$ . Then the  $G_\delta$ -modification  $X_\omega$  of  $X$  is homogeneous. Furthermore,  $X_\omega$  is homeomorphic to a topological group.*

PROOF: Indeed, by Theorem 11  $X_\omega$  is homeomorphic to the  $G_\delta$ -modification  $B$  of the compactum  $D^{\omega_1}$ . However, the space  $B$  is homogeneous, since  $D^{\omega_1}$  is homogeneous. Hence,  $X_\omega$  is homogeneous as well. In fact,  $B$  is homeomorphic to a topological group, since  $D^{\omega_1}$  is a topological group.  $\square$

**Problem 15.** *Can (CH) be dropped in the above statement?*

The following long standing problems posed in [3], [1], [2] remain open:

**Problem 16.** *Is it true in ZFC that every homogeneous compact sequential space is first countable?*

**Problem 17.** *Is it true in ZFC that every homogeneous compact space of countable tightness is first countable?*

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