

Linear forms and axioms of choice

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Abstract. We work in set-theory without choice **ZF**. Given a commutative field \mathbb{K} , we consider the statement $\mathbf{D}(\mathbb{K})$: “On every non null \mathbb{K} -vector space there exists a non-null linear form.” We investigate various statements which are equivalent to $\mathbf{D}(\mathbb{K})$ in **ZF**. Denoting by \mathbb{Z}_2 the two-element field, we deduce that $\mathbf{D}(\mathbb{Z}_2)$ implies the axiom of choice for pairs. We also deduce that $\mathbf{D}(\mathbb{Q})$ implies the axiom of choice for linearly ordered sets isomorphic with \mathbb{Z} .

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1. Introduction

1.1 Existence of bases in vector spaces. We work in set-theory without the Axiom of Choice **ZF**. According to a theorem due to Hőft and Howard (see [5]), the Axiom of Choice (**AC**) is equivalent (in **ZF**) to the statement **ST**: “*Every connected graph contains a spanning tree*” (for other statements equivalent to **AC** formulated in terms of “spanning graphs”, see [2]). In a recent paper (see [6]), Howard showed that given a commutative field \mathbb{K} , the following statement $\mathbf{BE}(\mathbb{K})$ — which Howard denotes by $AL19(\mathbb{K})$ — implies **ST** (and thus **AC**):

BE(\mathbb{K}) (Basis Extraction): “*Given a vector space E over \mathbb{K} , every generating subset of E contains a basis of E .*”

This enhances a result due to Halpern (see [3]) who showed that the statement “ $\forall \mathbb{K} \mathbf{BE}(\mathbb{K})$ ” (i.e. the existence of a basis in a generating subset of any vector space over *any* commutative field) implies **AC**. This also extends a result due to Keremedis (see [10]) who showed that $\mathbf{BE}(\mathbb{Z}_2)$ implies **AC**: here, where for each integer $n \geq 2$, we denote by \mathbb{Z}_n the ring $\mathbb{Z}/n\mathbb{Z}$. Now, consider the following consequence of $\mathbf{BE}(\mathbb{K})$:

B(\mathbb{K}): “*Every vector space over \mathbb{K} has a basis.*”

Blass ([1], 1984) showed in **ZF** that the statement “ $\forall \mathbb{K} \mathbf{B}(\mathbb{K})$ ” (i.e. the existence of a basis in every vector space over *any* commutative field) implies **AC**, or rather the following equivalent of **AC** (see [8]):

MC (“Multiple Choice”): “*For every family $(A_i)_{i \in I}$ of non-empty sets, there exists a family $(F_i)_{i \in I}$ of non-empty finite sets such that for every $i \in I$, $F_i \subseteq A_i$.*”

The following question is open (see [6]):

1 Question. Does there exist a (commutative) field \mathbb{K} such that $\mathbf{B}(\mathbb{K})$ implies \mathbf{AC} ? For example, does $\mathbf{B}(\mathbb{Q})$ imply \mathbf{AC} ? Does $\mathbf{B}(\mathbb{Z}_2)$ imply \mathbf{AC} ? Does the statement “For every prime number p , $\mathbf{B}(\mathbb{Z}_p)$ ” imply \mathbf{AC} ?

1.2 Existence of non-null linear forms. Given a commutative field \mathbb{K} , and a \mathbb{K} -vector space E , a *linear form* on E is a linear mapping $f : E \rightarrow \mathbb{K}$. The set E^* of linear forms on E is a vector subspace of \mathbb{K}^E , which is called the *algebraic dual* of E . Consider the following consequences of $\mathbf{B}(\mathbb{K})$.

- (i) $\mathbf{LE}(\mathbb{K})$ (Linear extender): *For every \mathbb{K} -vector space E , and every vector subspace F of E , there exists a linear mapping $T : F^* \rightarrow E^*$ such that for each $f \in F^*$, $T(f)$ extends f .*
- (ii) $\mathbf{DE}(\mathbb{K})$ (dual extension): *For any non null \mathbb{K} -vector space E , every vector subspace F of E , and every linear form $f : F \rightarrow \mathbb{K}$, there exists a linear form $\tilde{f} : E \rightarrow \mathbb{K}$ which extends f .*
- (iii) $\mathbf{DS}(\mathbb{K})$ (dual separating): *For any non null \mathbb{K} -vector space E and every $a \in E \setminus \{0\}$, there exists a linear form $f : E \rightarrow \mathbb{K}$ such that $f(a) = 1$.*
- (iv) $\mathbf{D}(\mathbb{K})$ (dual): *For any non null \mathbb{K} -vector space E , there exists a linear form $f : E \rightarrow \mathbb{K}$ which is not null.*

In Sections 2 and 3, we shall show that the above three statements (ii), (iii) and (iv) are equivalent (in \mathbf{ZF}). Moreover, we shall also show that $\mathbf{B}(\mathbb{K}) \Rightarrow \mathbf{LE}(\mathbb{K}) \Rightarrow \mathbf{D}(\mathbb{K})$.

2 Question. Given a commutative field \mathbb{K} , does $\mathbf{D}(\mathbb{K})$ imply $\mathbf{B}(\mathbb{K})$? Does $\mathbf{D}(\mathbb{K})$ imply $\mathbf{LE}(\mathbb{K})$? Does $\mathbf{LE}(\mathbb{K})$ imply $\mathbf{B}(\mathbb{K})$?

1.3 Various axioms of choice. In [6], Howard proved that $\mathbf{B}(\mathbb{Z}_2)$ implies that “Every *well ordered* family of pairs has a non-empty product”. In this paper, we shall enhance this result and we shall prove that $\mathbf{D}(\mathbb{Z}_2)$ implies that “Every family of pairs has a non-empty product”.

1 Notation. For every finite set F , we denote by $|F|$ its cardinal.

We now review various axioms of “Finite Choice”:

\mathbf{AC}^{fin} : *“Every family of non-empty finite sets has a non-empty product.”*

The statement \mathbf{AC}^{fin} does not imply \mathbf{AC} and \mathbf{ZF} does not imply \mathbf{AC}^{fin} (see [8] or [7]). Given an integer $n \geq 2$, and some prime natural number p , consider the following consequences of \mathbf{AC}^{fin} .

- (i) \mathbf{AC}^n : *“Every family $(A_i)_{i \in I}$ of finite non-empty sets having at most n elements has a non-empty product.”*
- (ii) $\mathbf{AC}_{\text{wo}}^n$: *“For every ordinal α , every family $(A_i)_{i \in \alpha}$ of non-empty finite sets with at most n elements has a non-empty product.”*
- (iii) $\mathbf{C}(p)$: *“For every family $(A_i)_{i \in I}$ of finite non-empty sets, there exists a family $(F_i)_{i \in I}$ of finite sets such that for all $i \in I$, $F_i \subseteq A_i$, and p does not divide the cardinal $|F_i|$ of F_i .”*

For every integer $n \geq 2$, denote by $\mathbf{AC}^{=n}$ the statement “Every family of n -element sets has a non-empty product.” Then $\mathbf{C}(2) \Rightarrow \mathbf{AC}^2$ and $\mathbf{C}(3) \Rightarrow \mathbf{AC}^{=3}$.

3 Question. Does $\mathbf{C}(5)$ imply $\mathbf{AC}^{=5}$?

In this paper, we shall prove that:

- (i) if p is a prime natural number, then $\mathbf{D}(\mathbb{Z}_p) \Rightarrow \mathbf{C}(p)$ (see Section 4);
- (ii) $\mathbf{D}(\mathbb{Q})$ implies that every family of linearly ordered sets isomorphic with \mathbb{Z} has a non-empty product (see Section 5).

Notice that the statement “For every prime number p , $\mathbf{C}(p)$ ” implies the statement “For every integer $n \geq 2$, \mathbf{AC}^n ” (see Remark 4 in Section 4). However, the statement “For every integer $n \geq 2$ \mathbf{AC}^n ” does not imply \mathbf{AC}^{fin} (see [8] or [7]).

1 Remark. Keremedis ([11]) proved in \mathbf{ZFA} (set-theory with atoms described in [8]), that for every integer $n \geq 2$, $\mathbf{B}(\mathbb{Q})$ implies the following statement: “For every sequence $(F_k)_{k \in \mathbb{N}}$ of non-empty finite sets each having at most n elements, there exists an infinite subset A of \mathbb{N} such that $\prod_{n \in A} F_n$ is non-empty”.

4 Question. Does $\mathbf{B}(\mathbb{Q})$ imply $\forall n \geq 2$ \mathbf{AC}^n ?

1 Proposition. Let \mathbb{K} be a commutative field with null characteristic (for every integer $n \geq 1$, $n \cdot 1_{\mathbb{K}} \neq 0_{\mathbb{K}}$). In \mathbf{ZFA} , \mathbf{MC} implies $\mathbf{DS}(\mathbb{K})$ (and thus \mathbf{MC} implies $\mathbf{DS}(\mathbb{Q})$).

PROOF: Let E be a \mathbb{K} -vector space. Using \mathbf{MC} , there is a mapping Φ such that for every vector subspaces V, W of E satisfying $V \subseteq W$ and W/V is finite-dimensional, for every linear mapping $f : V \rightarrow \mathbb{K}$, $\Phi(V, W, f) : W \rightarrow \mathbb{K}$ is a linear mapping extending f . Indeed, let Z be the set of such (V, W, f) . For each $(V, W, f) \in Z$, the vector-space W/V is finite-dimensional, thus the set $A_{V, W, f}$ of linear mappings $u : W \rightarrow \mathbb{K}$ extending f is non-empty (in \mathbf{ZFA}). Using \mathbf{MC} , consider some family $(B_i)_{i \in Z}$ of non-empty finite sets such that for every $i \in Z$, $B_i \subseteq A_i$. Then, for every $i \in Z$, define $\Phi(i) := \frac{1}{|B_i|} \sum_{u \in B_i} u$ (here we use the fact that the characteristic of \mathbb{K} is null). Now, assume that $a \in E \setminus \{0\}$. Using \mathbf{MC} , there exists an ordinal α and some partition $(F_i)_{i \in \alpha}$ in finite sets of E . This implies that there is a family $(V_i)_{i \in \alpha}$ of vector subspaces of E such that for every $i < j < \alpha$, $V_i \subseteq V_j$ and V_j/V_i is finite-dimensional. Without loss of generality, we may assume that $a \in V_0$. Using the choice function Φ , we define by transfinite recursion a family $(f_i)_{i \in \alpha}$ such that for each $i \in \alpha$, $f_i : V_i \rightarrow \mathbb{K}$ is linear, $f_0(a) = 1$, and for every $i < j \in \alpha$, f_j extends f_i . Define $f := \bigcup_{i \in \alpha} f_i$. Then $f : E \rightarrow \mathbb{K}$ is linear and $f(a) = 1$. □

Consider the following statement (form [18A] in [7, p. 28]): “Every denumerable set of two-element sets has an infinite subset with a choice function”.

1 Corollary. In \mathbf{ZFA} , $\mathbf{DS}(\mathbb{Q})$ does not imply “form [18A]”. Thus in \mathbf{ZFA} , $\mathbf{DS}(\mathbb{Q})$ does not imply $\mathbf{B}(\mathbb{Q})$.

PROOF: In the second Fraenkel model of **ZFA** (the model $\mathcal{N}2$ described in [7, p.178]), **MC** holds thus **DS**(\mathbb{Q}) also holds (use Proposition 1), however, “form [18A]” does not hold (see [7, p.178]). Using Keremedis’s result quoted in Remark 1, it follows that **B**(\mathbb{Q}) does not hold in this model. \square

2. D(\mathbb{K}) \Rightarrow **DS**(\mathbb{K})

2.1 Preliminaries about reduced products of \mathbb{L} -structures. We now review techniques described and used by W.A.J. Luxemburg in [12].

2.1.1 Reduced products of sets. Given a filter \mathcal{F} on a (non-empty) set I , and a family $(E_i)_{i \in I}$ of sets, let $E := \prod_{i \in I} E_i$, and let $\sim_{\mathcal{F}}$ be the binary relation on E defined as follows: if $x = (x_i)_{i \in I}, y = (y_i)_{i \in I} \in E$, then $x \sim_{\mathcal{F}} y$ if and only if the set $\{i \in I : x_i = y_i\}$ belongs to \mathcal{F} . Then, the binary relation $\sim_{\mathcal{F}}$ is an equivalence relation on E .

2.1.2 Reduced products of \mathbb{L} -structures. Let \mathbb{L} be a (egalitary) first order language. Let \mathcal{F} be a filter on a (non-empty) set I . Let $(\mathfrak{M}_i)_{i \in I}$ be a family of (egalitary) \mathbb{L} -structures with (non-empty) underlying sets M_i . Assume that the set $M := \prod_{i \in I} M_i$ is non-empty (this is the case in **ZF** if, for example, the language \mathbb{L} contains a constant symbol). Endow M with the *direct product* (egalitary) \mathbb{L} -structure \mathfrak{M} (see [4, p.413]).

We define an egalitary \mathbb{L} -structure $\mathfrak{M}_{\mathcal{F}}$ on the quotient set $M/\sim_{\mathcal{F}}$ as follows (see [4, pp.442–443]). For each constant symbol $\sigma \in \mathbb{L}$, we consider the equivalence class $\sigma^{\mathfrak{M}_{\mathcal{F}}}$ of the interpretation $\sigma^{\mathfrak{M}}$ of σ in \mathfrak{M} ; for each n -ary function symbol $\sigma \in \mathbb{L}$, its interpretation $\sigma^{\mathfrak{M}} : M^n \rightarrow M$ in \mathfrak{M} has a unique quotient $\sigma^{\mathfrak{M}_{\mathcal{F}}} : M_{\mathcal{F}}^n \rightarrow M_{\mathcal{F}}$; for each n -ary relation symbol $\sigma \in \mathbb{L}$, we consider the n -ary relation $\sigma^{\mathfrak{M}_{\mathcal{F}}}$ on $M_{\mathcal{F}}$ satisfying for every $x_1 = (x_i^1)_{i \in I}, \dots, x_n = (x_i^n)_{i \in I} \in M$: $\sigma^{\mathfrak{M}_{\mathcal{F}}}(can((x_i^1)_{i \in I}), \dots, can((x_i^n)_{i \in I}))$ iff $\{i \in I : \sigma^{\mathfrak{M}_i}(x_i^1, \dots, x_i^n)\} \in \mathcal{F}$.

2.1.3 Preservation of basic Horn formulae. An \mathbb{L} -formula ϕ is a *basic Horn formula* if ϕ is of the form $((\bigwedge_{p \in F} p) \rightarrow q)$ where F is a finite set of atomic \mathbb{L} -formulae and q is an atomic \mathbb{L} -formula.

2 Proposition. *Let \mathcal{F} be a filter on a set I , and let $(\mathfrak{M}_i)_{i \in I}$ be a family of \mathbb{L} -structures with (non-empty) underlying sets M_i . Assume that the product set $M = \prod_{i \in I} M_i$ is non-empty. Endow the quotient set $M/\sim_{\mathcal{F}}$ with the \mathbb{L} -structure $\mathfrak{M}_{\mathcal{F}}$. If ϕ is a Horn \mathbb{L} -formula which is satisfied by every \mathbb{L} -structure \mathfrak{M}_i , then $\mathfrak{M}_{\mathcal{F}} \models \phi$.*

PROOF: The proof is straightforward. See for example Hodges [4]. \square

2.1.4 Reduced powers of an \mathbb{L} -structure. If M is a set and \mathcal{F} is a filter on a set I , then we denote by $M_{\mathcal{F}}$ the set $M^I/\sim_{\mathcal{F}}$. We also denote by $\Delta_I : M \hookrightarrow M^I$ the “diagonal mapping” associating to each $x \in M$ the constant mapping $I \rightarrow M$ with value x ; we denote by $can_{\mathcal{F}}^M : M \hookrightarrow M_{\mathcal{F}}$ the one-to-one mapping associating to each $x \in M$ the equivalence class of $\Delta_I(x)$ modulo $\sim_{\mathcal{F}}$.

If \mathfrak{M} is an \mathbb{L} -structure with underlying set M and \mathcal{F} is a filter on a set I , then we denote by $\mathfrak{M}_{\mathcal{F}}$ the set $M_{\mathcal{F}}$ endowed with the reduced product \mathbb{L} -structure described previously. Then $\text{can}_{\mathcal{F}}^M : M \hookrightarrow M_{\mathcal{F}}$ is an \mathbb{L} -embedding.

1 Example (Reduced powers of a commutative unitary ring). Given a commutative unitary ring A and a filter \mathcal{F} on a set I , the reduced power $A_{\mathcal{F}}$ is a commutative unitary ring. Moreover, if \mathbb{K} is a commutative field and if A is a \mathbb{K} -algebra, then $A_{\mathcal{F}}$ is also a \mathbb{K} -algebra.

2 Notation. Let A, B be sets. Let $u \in (B^A)_{\mathcal{F}}$: then u is the equivalence class of some family $(u_i)_{i \in I}$ of B^A . We denote by $\hat{u} : A_{\mathcal{F}} \rightarrow B_{\mathcal{F}}$ the mapping such that for each $(x_i)_{i \in I}$, denoting by \hat{x} the equivalence class of $(x_i)_{i \in I}$ in $A_{\mathcal{F}}$, $\hat{u}(\hat{x})$ is the equivalence class of $(u_i(x_i))_{i \in I}$ in $B_{\mathcal{F}}$.

2.1.5 Concurrent relations. Let E, F be two sets and let $R \subseteq E \times F$ be a binary relation. The relation R is said to be *concurrent* if for every non-empty finite subset G of E , the set $\bigcap_{x \in G} R(x)$ is nonempty. The relation R is concurrent if and only if the subsets $R(x)$ of F satisfy the finite intersection property: in this case, we denote by \mathcal{F}_R the filter on F generated by the sets $R(x)$, $x \in E$.

3 Proposition (Luxemburg, [12]). *Let E, I be two sets and let $R \subseteq E \times I$ be a concurrent binary relation. Let \mathcal{F} be the filter on I generated by the sets $R(x)$, $x \in E$. Then, there exists an equivalence class $\iota = (\iota_i)_{i \in I}$ in $I_{\mathcal{F}}$ such that for every $x \in E$, $\{i \in I : R(x, \iota_i)\} \in \mathcal{F}$.*

PROOF: Let $\text{Id}_I : I \rightarrow I$ be the “identity mapping” and let ι be the equivalence class of Id_I in $I_{\mathcal{F}}$. Then, for every $x \in E$, $\{i \in I : R(x, i)\} = R(x) \in \mathcal{F}$. □

2.2 $D(\mathbb{K}) \Rightarrow DS(\mathbb{K})$.

1 Lemma. *Let \mathbb{K} be a commutative field, let E be a non-null \mathbb{K} -vector space and $a \in E \setminus \{0\}$. Let $I := \mathbb{K}^E$. There exists a filter \mathcal{F} on I and a linear mapping $u : E \rightarrow \mathbb{K}_{\mathcal{F}}$ such that $u(a) = 1_{\mathbb{K}_{\mathcal{F}}}$.*

PROOF: Let $R \subseteq (\mathcal{P}_{\text{fin}}(E) \times I)$ be the following binary relation: given a finite subset F of E and some mapping $u : E \rightarrow \mathbb{K}$, then $R(F, u)$ iff $u(a) = 1$ and $u|_F$ is linear. Here, “ $u|_F$ is linear” means that for every $x, y \in F$ and $\lambda \in \mathbb{K}$, $x + y \in F \Rightarrow u(x + y) = u(x) + u(y)$ and $\lambda x \in F \Rightarrow u(\lambda x) = \lambda u(x)$. Using Proposition 3, let \mathcal{F} be a filter on I and $\iota = (\iota_i)_{i \in I} \in I_{\mathcal{F}}$ such that for every finite subset F of E , the set $\{i \in I : R(F, \iota_i)\}$ belongs to \mathcal{F} . Using Notation 2, $\hat{\iota} \in \mathbb{K}_{\mathcal{F}}^{E_{\mathcal{F}}}$, thus $\hat{\iota}$ induces a mapping $\iota_E : E \rightarrow \mathbb{K}_{\mathcal{F}}$. Moreover, $\iota_E(a) = 1_{\mathbb{K}_{\mathcal{F}}}$. For every $x, y \in E$ and $\lambda \in \mathbb{K}$, $\iota_E(x + \lambda y) = \iota_E(x) + \lambda \iota_E(y)$: indeed, let $F := \{x, y, \lambda y, x + \lambda y\}$; by definition of ι , the set $J := \{i \in I : R(F, \iota_i)\}$ belongs to \mathcal{F} , and J is a subset of the set $\{i \in I : \iota_i(x + \lambda y) = \iota_i(x) + \lambda \iota_i(y)\}$. □

1 Theorem. $D(\mathbb{K}) \Rightarrow DS(\mathbb{K})$.

PROOF: Let E be a \mathbb{K} -vector space and $a \in E \setminus \{0\}$. Using the previous lemma, let \mathcal{F} be a filter on a set I and a linear mapping $u : E \rightarrow \mathbb{K}_{\mathcal{F}}$ such that $u(a) = 1$. Using $\mathbf{D}(\mathbb{K})$, let $f : \mathbb{K}_{\mathcal{F}} \rightarrow \mathbb{K}$ be a non-null linear mapping. Let $z \in \mathbb{K}_{\mathcal{F}}$ such that $f(z) = 1$. Denoting by $m_z : \mathbb{K}_{\mathcal{F}} \rightarrow \mathbb{K}_{\mathcal{F}}$ the linear mapping associating to each $x \in \mathbb{K}_{\mathcal{F}}$ the element zx , it follows that $v := f \circ m_z \circ u : E \rightarrow \mathbb{K}$ is linear and that $v(a) = f \circ m_z(1) = f(z) = 1$. \square

3. Other equivalents of $\mathbf{D}(\mathbb{K})$

3.1 Equivalents of $\mathbf{DS}(\mathbb{K})$.

2 Theorem. *Given a commutative field \mathbb{K} , the following statements are equivalent.*

- (i) **DE**(\mathbb{K}) (dual extension): “For any non null \mathbb{K} -vector space E , every vector subspace F of E , and every linear form $f : F \rightarrow \mathbb{K}$, there exists a linear form $\tilde{f} : E \rightarrow \mathbb{K}$ which extends f .”
- (ii) (multiple **DE**(\mathbb{K})) “Given a family $(E_i)_{i \in I}$ of \mathbb{K} -vector spaces, a family $(F_i)_{i \in I}$ such that each F_i is a vector subspace of E_i , and a family $(f_i)_{i \in I}$ such that each $f_i : F_i \rightarrow \mathbb{K}$ is linear, there exists a family $(\tilde{f}_i)_{i \in I}$ such that each $\tilde{f}_i : E_i \rightarrow \mathbb{K}$ is a linear form extending f_i .”
- (iii) (multiple **DS**(\mathbb{K})) “Given a family $(E_i)_{i \in I}$ of \mathbb{K} -vector spaces, a family $(F_i)_{i \in I}$ such that each a_i is a non null element of E_i , there exists a family $(f_i)_{i \in I}$ such that each $f_i : E_i \rightarrow \mathbb{K}$ is a linear form and $f_i(a_i) = 1$.”
- (iv) **DS**(\mathbb{K}).

PROOF: (i) \Rightarrow (ii). Let $(E_i, F_i, f_i)_{i \in I}$ be a family such that each E_i is a \mathbb{K} -vector space, F_i a vector subspace of E_i and $f_i : F_i \rightarrow \mathbb{K}$ is a linear form. Then $F = \bigoplus_{i \in I} F_i$ is a vector subspace of $E = \bigoplus_{i \in I} E_i$, and the mapping $f = \bigoplus_{i \in I} f_i : F \rightarrow \mathbb{K}$ is linear. Using **DE**(\mathbb{K}), extend f by a linear mapping $\tilde{f} : E \rightarrow \mathbb{K}$. For each $i \in I$, let $\tilde{f}_i := \tilde{f} \circ \text{can}_i$ where $\text{can}_i : E_i \hookrightarrow E$ is the canonical mapping. Then each mapping $\tilde{f}_i : E_i \rightarrow \mathbb{K}$ is linear and extends f_i .

(ii) \Rightarrow (iii) \Rightarrow (iv) is easy.

(iv) \Rightarrow (i). Let E be a \mathbb{K} -vector space, let F be a vector subspace of E , let $f : F \rightarrow \mathbb{K}$ be a linear mapping. Let $N := \text{Ker}(f)$ and let $a \in F$ such that $f(a) = 1$. Let $\text{can} : E \rightarrow E/N$ be the canonical mapping and let $b := \text{can}(a) = a + N$. Using **DS**(\mathbb{K}), let $g : E/N \rightarrow \mathbb{K}$ be a linear mapping such that $g(b) = 1$. Let $\tilde{f} := g \circ \text{can} : E \rightarrow \mathbb{K}$. Then \tilde{f} is linear, \tilde{f} is null on N and $\tilde{f}(a) = 1$, thus \tilde{f} extends f . \square

2 Remark. Given a real normed space E , denote by **DS** $_E$ (resp. **DE** $_E$) the statement **DS**(\mathbb{R}) (resp. **DE**(\mathbb{R})) restricted to the case of the vector space E . Then, for $E := L^2[0, 1]$, **DS** $_E$ holds in **ZF**, however, there are models of **ZF** where **DE** $_E$ does not hold.

PROOF: Recall that $E := L^2[0, 1]$ is the Cauchy-completion of the normed space $C([0, 1])$ endowed with the N_2 norm. Thus E is a (separable) Hilbert space so **DS** $_E$

is satisfied (for example, given $a \in E \setminus \{0\}$, consider the “scalar product” form $x \mapsto \langle x, a \rangle$). Now, consider the “evaluating form” $\delta_0 : C([0, 1]) \rightarrow \mathbb{R}$ associating to each $f \in C([0, 1])$ the real number $f(0)$: δ_0 is linear. However, there are models of **ZF** in which δ_0 has no linear extension to the whole space E (thus **DE** _{E} is not satisfied). Indeed, consider a model \mathfrak{M} of **ZF** in which every linear form on a separable Banach space is continuous (for example, consider models of **ZF** in which every subset of a polish space is a Baire set — see [17], [16], [15]). In such a model \mathfrak{M} , if $\phi : E \rightarrow \mathbb{R}$ is a linear mapping extending δ_0 , then ϕ is non null and $\text{Ker}(\phi)$ is dense in E (because $\text{Ker}(\delta_0)$ is already dense in $L^2[0, 1]$), thus the linear form $\phi : E \rightarrow \mathbb{R}$ is not continuous: this is contradictory in \mathfrak{M} ! \square

3.2 Linear extenders. Given a commutative field \mathbb{K} , and a vector space E , we denote by E^* the *algebraic dual* of E i.e. the vector space of \mathbb{K} -linear forms on E . Consider the following statement:

LE(\mathbb{K}) (Linear extender): *For every \mathbb{K} -vector space E , and every vector subspace F of E , there exists a linear mapping $T : F^* \rightarrow E^*$ such that for each $f \in F^*$, $T(f)$ extends f .*

Denoting by $\text{can} : E^* \rightarrow F^*$ the linear mapping associating to each $f \in E^*$ its restriction $f|_F$ to F , the axiom **LE**(\mathbb{K}) says that $\text{can} : E^* \rightarrow F^*$ is onto and has a linear section $T : F^* \hookrightarrow E^*$.

4 Proposition. **B**(\mathbb{K}) \Rightarrow **LE**(\mathbb{K}) \Rightarrow **DS**(\mathbb{K}).

PROOF: We prove **B**(\mathbb{K}) \Rightarrow **LE**(\mathbb{K}). Given a vector space E and a vector subspace F of E , the axiom **B**(\mathbb{K}) implies the existence of a basis B of the dual space F^* . Using the multiple form of **DS**(\mathbb{K}), consider for each $e \in B$, a linear form $\tilde{e} : E \rightarrow \mathbb{K}$ extending e . Let $T : F^* \rightarrow E^*$ be the linear mapping such that for each $e \in B$, $T(e) = \tilde{e}$. Then T is a linear section of $\text{can} : E^* \rightarrow F^*$. \square

3.3 D(\mathbb{Z}_2) **restricted to boolean algebras.**

3.3.1 Boolean algebras. A *boolean algebra* is a (commutative) ring with a unit $(\mathbb{B}, \oplus, \cdot, 0, 1)$, such that for every $x \in \mathbb{B}$, $x \oplus x = 0$. The proof of the following result is classical in **ZFC**, set-theory with the Axiom of Choice. However, this result is also provable in **ZF** (see [9] or [14]).

Theorem (Coproduct of boolean algebras in **ZF**). *Given a family $(\mathcal{B}_i)_{i \in I}$ of boolean algebras, there exists a boolean algebra \mathcal{B} and a family $(j_i : \mathcal{B}_i \rightarrow \mathcal{B})_{i \in I}$ of morphisms of boolean algebras (thus for every $i \in I$, $j_i(1_{\mathcal{B}_i}) = 1_{\mathcal{B}}$) such that for every boolean algebra \mathcal{C} , and every family $(g_i : \mathcal{B}_i \rightarrow \mathcal{C})_{i \in I}$ of morphisms, there exists a unique morphism $g : \mathcal{B} \rightarrow \mathcal{C}$ satisfying $g \circ j_i = g_i$.*

PROOF: We sketch the proof which is in [14]. The case where every boolean algebra \mathcal{B}_i is equal to $\mathcal{P}(\mathbb{N})$ is easy. The general case follows from the fact that every boolean algebra is a sub-algebra of a reduced power of $\mathcal{P}(\mathbb{N})$ (using methods described by Luxemburg [12]). \square

3.3.2 A boolean consequence of $\mathbf{D}(\mathbb{Z}_2)$. Every boolean algebra \mathbb{B} is a vector space over \mathbb{Z}_2 . Notice that a \mathbb{Z}_2 -linear form on \mathbb{B} is just a mapping $f : \mathbb{B} \rightarrow \mathbb{Z}_2$ which is *additive*: for every $x, y \in \mathbb{B}$, $f(x \oplus y) = f(x) + f(y)$. The following statement is a consequence of $\mathbf{D}(\mathbb{Z}_2)$:

$\mathbf{D}_{bool}(\mathbb{Z}_2)$: “Given a non-trivial boolean algebra \mathcal{B} , there exists a non null linear mapping $f : \mathcal{B} \rightarrow \mathbb{Z}_2$.”

3 Theorem. *The following statements are equivalent to $\mathbf{D}_{bool}(\mathbb{Z}_2)$.*

- (i) “For every boolean algebra \mathcal{B} and every $a \in \mathcal{B}$ such that $a \neq 0$, there exists a linear mapping $f : \mathcal{B} \rightarrow \mathbb{Z}_2$ such that $f(a) = 1$.”
- (ii) The “multiple form”: “If $(\mathcal{B}_i)_{i \in I}$ is a family of non-null boolean algebras, there exists a family $(f_i)_{i \in I}$ such that for every $i \in I$, $f_i : \mathcal{B}_i \rightarrow \mathbb{Z}_2$ is linear and $f_i(1_{\mathcal{B}_i}) = 1$ ”.
- (iii) “If $(\mathcal{B}_i, a_i)_{i \in I}$ is a family of boolean algebras, and if each $a_i \in \mathcal{B}_i \setminus \{0\}$, then there exists a family $(f_i)_{i \in I}$ such that for every $i \in I$, $f_i : \mathcal{B}_i \rightarrow \mathbb{Z}_2$ is linear and $f_i(a_i) = 1$.”
- (iv) $\mathbf{D}(\mathbb{Z}_2)$.

PROOF: $\mathbf{D}_{bool}(\mathbb{Z}_2) \Rightarrow$ (i). For every element $u \in \mathcal{B}$, let $\mathcal{B}_u := \{x \in \mathcal{B} : x \leq u\}$: \mathcal{B}_u is a boolean algebra. Using $\mathbf{D}_{bool}(\mathbb{Z}_2)$, let $g : \mathcal{B}_u \rightarrow \mathbb{Z}_2$ be a non-null linear mapping. Let $b \in \mathcal{B}_u$ such that $g(b) = 1$. Let $r : \mathcal{B} \rightarrow \mathcal{B}_u$ be the mapping $x \mapsto (x \wedge b)$: then r is linear and $r(a) = b$. Let $f := g \circ r$. Then $f : \mathcal{B} \rightarrow \mathbb{Z}_2$ is linear and $f(a) = 1$.

(i) \Rightarrow (ii). Let $(\mathcal{B}_i)_{i \in I}$ be a family of boolean algebras. Let $(\mathcal{B}, (j_i)_{i \in I})$ be the *boolean coproduct* of the family $(\mathcal{B}_i)_{i \in I}$. Using (i), let $f : \mathcal{B} \rightarrow \mathbb{Z}_2$ be a linear mapping such that $f(1_{\mathcal{B}}) = 1$. For each $i \in I$, let $f_i := f \circ j_i$. Then each $f_i : \mathcal{B}_i \rightarrow \mathbb{Z}_2$ is linear and $f_i(1) = 1$.

(ii) \Rightarrow (iii). For each $i \in I$, consider the boolean algebra $\mathcal{B}'_i := \{x \in \mathcal{B}_i : x \leq a_i\}$. Apply (ii) to the family of boolean algebras $(\mathcal{B}'_i)_{i \in I}$.

(iii) $\Rightarrow \mathbf{D}_{bool}(\mathbb{Z}_2)$: easy.

(i) $\Rightarrow \mathbf{D}(\mathbb{Z}_2)$. Let E be a \mathbb{Z}_2 -vector space. Using results of Section 2.1, there exist a set I , a filter \mathcal{F} on I and a one-to-one mapping $j : E \rightarrow (\mathbb{Z}_2)_{\mathcal{F}}$ which is \mathbb{Z}_2 -linear. Now $(\mathbb{Z}_2)_{\mathcal{F}}$ is a boolean algebra (because, on the language $\mathbb{L}_{ring} := \{+, \times, \mathbf{0}, \mathbf{1}\}$ of rings, the axioms defining boolean algebras are atomic formulae). Using (i), let $f : (\mathbb{Z}_2)_{\mathcal{F}} \rightarrow \mathbb{Z}_2$ be a linear mapping which is not null on $j[E]$. Then $f \circ j : E \rightarrow \mathbb{K}$ is linear and non null.

$\mathbf{D}(\mathbb{Z}_2) \Rightarrow \mathbf{D}_{bool}(\mathbb{Z}_2)$: easy. □

2 Corollary. $\mathbf{D}_{bool}(\mathbb{Z}_2) \Rightarrow \mathbf{C}(2)$.

PROOF: Let $(A_i)_{i \in I}$ be a family of non-empty finite sets. The multiple form of $\mathbf{D}_{bool}(\mathbb{Z}_2)$ gives a family $(f_i)_{i \in I}$ such that for each $i \in I$, $f_i : \mathcal{P}(A_i) \rightarrow \mathbb{Z}_2$ is \mathbb{Z}_2 -linear and $f_i(A_i) = 1$. Now, for each $i \in I$, let $B_i := \{t \in A_i : f_i(\{t\}) = 1\}$. Then the cardinal $|B_i|$ of B_i is odd because $f_i(A_i) = |B_i| \pmod{2}$. □

4. $\mathbf{D}(\mathbb{Z}_p) \Rightarrow \mathbf{C}(p)$

3 Corollary. For every prime number p , $\mathbf{D}(\mathbb{Z}_p) \Rightarrow \mathbf{C}(p)$.

PROOF: Given a prime number p , denote by \mathbb{K} the field \mathbb{Z}_p . Let $(A_i)_{i \in I}$ be a family of non-empty finite sets. For every $i \in I$, let E_i be the \mathbb{K} -vector space \mathbb{K}^{A_i} and let $1_{A_i} : A_i \rightarrow \mathbb{K}$ be the constant mapping with value 1. Using the multiple form of $\mathbf{DS}(\mathbb{Z}_p)$ (which is equivalent to $\mathbf{D}(\mathbb{Z}_p)$), consider some family $(f_i)_{i \in I}$ such that for every $i \in I$, $f_i : E_i \rightarrow \mathbb{K}$ is linear and $f_i(1_{A_i}) = 1$. Then $f_i(1_{A_i}) = \sum_{t \in \{0..p-1\}} t|F_i(t)|$, where for every $i \in I$, and every $t \in \{0..p-1\}$, $F_i(t) := \{x \in A_i : f_i(x) = t\}$. If $i \in I$, then p does not divide $1 = f_i(1_{A_i})$; thus there exists $t \in \{0..p-1\}$ such that $|F_i(t)|$ is not multiple of p ; let t_i be the first such element of $\{0..p-1\}$; then $F_i := F_i(t_i)$ is a subset of A_i and p does not divide $|F_i|$. □

3 Remark. Let N be an integer ≥ 2 . Let P_N be the set of prime numbers p such that $2 \leq p \leq N$. Then the statement $\bigwedge_{p \in P_N} \mathbf{C}(p)$ implies that for every set \mathcal{A} of non-empty finite sets, there exists a mapping Φ with domain \mathcal{A} such that for every $F \in \mathcal{A}$, $\emptyset \neq \Phi(F) \subseteq F$ and, for every $p \in P_N$, p does not divide the cardinal of F .

PROOF: Let X be an infinite set. Let \mathcal{A} be the set of non-empty finite subsets of X . Using the statement $\bigwedge_{p \in P_N} \mathbf{C}(p)$, consider for each $p \in P_N$, a mapping $\Phi_p : \mathcal{A} \rightarrow \mathcal{A}$ associating to each $F \in \mathcal{A}$ a non-empty finite subset G of F such that p does not divide the cardinal of G . Now, given $F \in \mathcal{A}$ with cardinal n , we define a descending sequence $(F_i)_{0 \leq i < n}$ of non-empty subsets of F such that $F_0 = F$ and, for every $i \in 0..|F|$, if some $p \in P_N$ divides $|F_i|$, then $F_{i+1} \subsetneq F_i$, else $F_{i+1} = F_i$: then F_{n-1} is a non-empty finite subset of F such that no element of P_N divides the cardinal of F_n . We define Φ as the mapping associating to each $F \in \mathcal{A}$ with n elements the non-empty finite subset F_{n-1} of F . □

4 Remark. Let N be an integer ≥ 2 . Then the statement $\bigwedge_{2 \leq p \leq N; p \text{ prime}} \mathbf{C}(p)$ implies the statement \mathbf{AC}^N .

PROOF: Use the previous remark. □

5. $\mathbf{D}(\mathbb{Q})$ implies $\mathbf{AC}^{\mathbb{Z}}$

Given an infinite set X , we denote by $\mathcal{P}_\infty(X)$ the set of infinite subsets of X ; we also denote by fin_X the set of finite subsets of X . In [13], chameleons and cyclic chameleons were defined: given some integer $n \geq 2$, a *n-cyclic chameleon* is a mapping $\chi : \mathcal{P}_\infty(X) \rightarrow \mathbb{Z}_n$ such that for every infinite subset A of X and every $m \in X \setminus A$, $\chi(A \cup \{m\}) = \chi(A) + 1 \pmod n$. We define a *\mathbb{Z} -chameleon* on X as a mapping $\chi : \mathcal{P}_\infty(X) \rightarrow \mathbb{Z}$ such that for every infinite subset A of X and every $m \in X \setminus A$, $\chi(A \cup \{m\}) = \chi(A) + 1$. Consider the following statements:

CZ: “On every infinite set there exists a \mathbb{Z} -chameleon.”

and, for every integer $n \geq 2$:

CZ_n: “On every infinite set there exists a cyclic n -chameleon.”

Notice that for every integer $n \geq 2$, **CZ** implies **CZ_n**.

4 Theorem. **D(Q) ⇒ CZ.**

PROOF: Let E be the \mathbb{Q} -vector space \mathbb{Q}^X . We identify the set $\mathcal{P}(X)$ of subsets of X with the set $\{0, 1\}^X$. Then we may think of $\mathcal{P}(X)$ as a subset of E . Using **D(Q)** (or rather the equivalent statement **DE(Q)** in Theorem 2 of Section 3.1), let $f : E \rightarrow \mathbb{Q}$ be a \mathbb{Q} -linear form such that for every $x \in X$, $f(\{x\}) = 1$. For every $C \in \mathcal{P}(X)/\text{fin}_X$ such that $C \neq \emptyset$, the subset $f[C]$ of \mathbb{Q} is order isomorphic with \mathbb{Z} , and one can choose some $\mu_C \in f[C]$ (for example let μ_C be the first element of $f[C] \cap \mathbb{Q}_+^*$ where $\mathbb{Q}_+^* := \{q \in \mathbb{Q} : 0 < q\}$); let $d_C : f[C] \rightarrow \mathbb{Z}$ be the order isomorphism such that $d_C(\mu_C) = 0$, and let $f_C := d_C \circ f|_C : C \rightarrow \mathbb{Z}$. Let $\chi := \bigcup_{C \in \mathcal{P}(X)/\text{fin}_X, C \neq \emptyset} f_C$. Then χ is a \mathbb{Z} -chameleon on X . □

5 Remark. For every prime number p , **D(Z_p) ⇒ CZ_p**.

PROOF: The proof is similar but slightly simpler. □

5 Proposition. *The axiom **CZ** is equivalent to the following statement **AC^Z**: “For every family $(X_i, \leq_i)_{i \in I}$ of ordered sets isomorphic with \mathbb{Z} , the product set $\prod_{i \in I} X_i$ is non-empty.”*

PROOF: ⇒ Let $(X_i, \leq_i)_{i \in I}$ be a non-empty family of ordered sets isomorphic with \mathbb{Z} . We may assume that the sets X_i are pairwise disjoint. Let $X := \bigcup_{i \in I} X_i$. Using **CZ**, let $\chi : \mathcal{P}_\infty(X) \rightarrow \mathbb{Z}$ be a \mathbb{Z} -chameleon. For each $i \in I$, there exists a unique $x_i \in X_i$ such that $\chi(\leftarrow, x_i] = 0$ — here, we denote by $\leftarrow, x_i]$ the interval $\{t \in X_i : t \leq x_i\}$ of the ordered set X_i . Now $x = (x_i)_{i \in I} \in \prod_{i \in I} X_i$.

⇐ Let X be an infinite set. In order to define a \mathbb{Z} -chameleon on X , it is sufficient (and also necessary) to define a \mathbb{Z} -chameleon on every non null class $C \in \mathcal{P}_\infty(X)/\text{fin}_X$. Given such a class C , the poset P_C of \mathbb{Z} -chameleons on C ordered by the product order of \mathbb{Z}^C is isomorphic with \mathbb{Z} . Using **AC^Z**, consider some element $(\chi_C)_{0 \neq C \in \mathcal{P}_\infty(X)/\text{fin}_X} \in \prod_{C \in \mathcal{P}_\infty(X)/\text{fin}_X, C \neq \emptyset} P_C$; then $\chi := \bigcup \chi_C : \mathcal{P}_\infty(X) \rightarrow \mathbb{Z}$ is a \mathbb{Z} -chameleon on X . □

6 Proposition. **AC^Z** does not imply **AC**.

PROOF: There is a model of **ZF**+¬**AC** where every family of non-empty well-orderable sets has a non-empty product (see [8], [7]). Such a model satisfies **AC^Z**. □

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