

## Local cardinal functions of H-closed spaces

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*Abstract.* The cardinal functions of pseudocharacter, closed pseudocharacter, and character are used to examine H-closed spaces and to contrast the differences between H-closed and minimal Hausdorff spaces. An H-closed space  $X$  is produced with the properties that  $|X| > 2^{2^{\psi(X)}}$  and  $\overline{\psi}(X) > 2^{\psi(X)}$ .

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For a compact Hausdorff space  $X$  it is well known (see e.g. [H]) that  $\psi(X) = \overline{\psi}(X) = \chi(X)$ , where  $\psi$ ,  $\overline{\psi}$  and  $\chi$  are the local cardinal functions pseudocharacter, closed pseudocharacter and character respectively, and for any space  $X$   $\psi(X) \leq \overline{\psi}(X) \leq \chi(X)$ . In [DP] the authors extend one of Arhangel'skii's cardinal inequalities for compact Hausdorff spaces, namely  $|X| \leq 2^{\overline{\psi}(X)}$ , to H-closed spaces. Since the inequality  $|X| \leq 2^{\psi(X)}$  is true also for compact Hausdorff space, the question arises whether this formula is true for H-closed spaces. A well known example (see 4.8 in [PW]) is the Katětov H-closed extension  $\kappa\omega$  of the discrete set of nonnegative integers  $\omega$ .  $\kappa\omega$  has the underlying set of  $\beta\omega$  with the finer topology generated by  $\tau(\beta\omega) \cup \{ \omega \cup \{p\} : p \in \beta\omega \}$ .  $\kappa\omega$  has pseudocharacter  $\aleph_0$ , but  $|\kappa\omega| = 2^c$ . It seems reasonable to conjecture that  $|X| \leq 2^{2^{\psi(X)}}$  should hold for any H-closed space  $X$ . Of course, this would then follow if we could establish that  $\overline{\psi}(X) \leq 2^{\psi(X)}$  for an H-closed space  $X$ . It turns out that this is not the case and in the present paper we produce an H-closed space  $X$  such that  $|X| > 2^{2^{\psi(X)}}$  and  $\overline{\psi}(X) > 2^{\psi(X)}$ . The H-closed space  $\kappa\omega$  has the property  $\psi(\kappa\omega) < \overline{\psi}(\kappa\omega) = \chi(\kappa\omega)$ . If  $Y$  is the underlying set of unit interval  $I$  with the finer topology generated by  $\tau(I) \cup \{U \setminus C : U \in \tau(I), C \in [I]^{\leq \omega}\}$  then the space  $Y$  is H-closed and satisfies  $\psi(Y) = \overline{\psi}(Y) < \chi(Y)$ . A natural question is whether there is an H-closed space  $Z$  satisfying (\*)  $\psi(Z) < \overline{\psi}(Z) < \chi(Z)$ . If  $Z$  is a semiregular, H-closed space, i.e.  $Z$  is minimal Hausdorff, then  $\overline{\psi}(Z) = \chi(Z)$ . So obtaining a H-closed space  $Z$  satisfying (\*) will be delicate, as the semiregularization  $Z_s$  of  $Z$  does not have this property. In this paper we develop a H-closed space  $Z$  satisfying (\*). Another very important cardinal relation for compact Hausdorff spaces is the equality  $nw(X) = w(X)$ , where  $nw$  and  $w$  are the cardinal functions netweight and weight respectively. Examining the proof of this equality (see e.g. p.170 of [E]) it is not difficult to realize that it actually holds for any minimal Hausdorff

space  $X$ . Again the question arises whether such a relation can be true also for every H-closed space. The last example presented here, namely a countable H-closed space with uncountable character, will provide a negative answer to this question.

Henceforth all the spaces under consideration are assumed to be Hausdorff. For a space  $X$  and a point  $p \in X$ , let  $\mathcal{U}_p$  denote the set  $\{U \in \tau(X) : p \in U\}$ . Recall that  $\psi(p, X) = \min\{|\mathcal{U}| : \mathcal{U} \subseteq \mathcal{U}_p, \cap \mathcal{U} = \{p\}\}$ ,  $\bar{\psi}(p, X) = \min\{|\mathcal{U}| : \mathcal{U} \subseteq \mathcal{U}_p, \bigcap_{U \in \mathcal{U}} cl_X U = \{p\}\}$  and  $\chi(p, X) = \min\{|\mathcal{U}| : \mathcal{U} \subseteq \mathcal{U}_p, \mathcal{U} \text{ is a local base at } p\}$ . Moreover  $\psi(X) = \sup\{\psi(p, X) : p \in X\}$ ,  $\bar{\psi}(X) = \sup\{\bar{\psi}(p, X) : p \in X\}$  and  $\chi(X) = \sup\{\chi(p, X) : p \in X\}$ .  $w(X)$  is the smallest cardinality of a base of  $X$  and  $nw(X)$  is the smallest cardinality of a network of  $X$ . A network of the space  $X$  is a family  $\mathcal{S}$  of subsets such that every open set of  $X$  is an union of members of  $\mathcal{S}$ . Let  $X_s$  be the underlying set of  $X$  with the topology generated by the regular open sets of  $X$ . A subset  $A$  of  $X$  is regular open if  $\text{int } {}_X cl_X A = A$ .

**Example 1.** *A large H-closed space of small pseudocharacter.*

Let  $Y$  be a discrete space such that  $|Y|$  is not an Ulam measurable cardinal, e.g.  $Y$  is the set of all real numbers with the discrete topology. The space  $\kappa Y$  is H-closed and  $(\kappa Y)_s = \beta Y$  (see [PW]). The points of  $\kappa Y \setminus Y$  are the free ultrafilters on  $Y$  and  $|\kappa Y \setminus Y| = |\beta Y \setminus Y| = 2^{2^{|Y|}}$ . Therefore  $|\kappa Y| = 2^{2^{|Y|}}$ . Since  $|Y|$  is not Ulam measurable, every ultrafilter  $p$  on  $Y$  does not have the countable intersection property; that is, there exists a countable family  $\{P_n : n \in \omega\} \subseteq p$  such that  $\bigcap_{n \in \omega} P_n = \emptyset$ .

Since  $\{p\} \cup P_n$  is an open neighbourhood of  $p$  in  $\kappa Y$ , it follows that  $\psi(p, \kappa Y) = \aleph_0$ . Thus  $|\kappa Y| > 2^{2^{\psi(\kappa Y)}}$  and consequently, by the inequality in [DP], also  $\bar{\psi}(\kappa Y) > 2^{\psi(\kappa Y)}$ .

**Comment:** We do not know any example of a minimal Hausdorff space  $M$  such that  $|M| > 2^{2^{\psi(M)}}$  or  $\bar{\psi}(M) > 2^{\psi(M)}$ .

**Example 2.** *A H-closed space  $X$  such that  $\psi(X) < \bar{\psi}(X) < \chi(X)$ .*

We start by modifying the topology of  $\kappa\omega$ . Let  $\mathcal{F}$  be a uniform ultrafilter on the set  $\kappa\omega \setminus \omega$  such that  $\mathcal{F}$  has no base of size smaller than  $2^{2^c}$ . Clearly  $\cap \mathcal{F} = \emptyset$ . Since  $\kappa\omega$  and  $\beta\omega$  have the same underlying set, we can consider  $\mathcal{F}$  as a filter on  $\beta\omega$ . The compactness of  $\beta\omega$  implies that  $\bigcap_{F \in \mathcal{F}} cl_{\beta\omega}(F) \neq \emptyset$  and the maximality of  $\mathcal{F}$  guarantees that the previous intersection consists of a singleton. Let us denote by  $u$  the unique cluster point of  $\mathcal{F}$  in  $\beta\omega$ . As  $\beta\omega$  is compact, we see that  $\mathcal{F}$  actually converges to  $u$ . Let  $\kappa_{\mathcal{F}}\omega$  have the same underlying set of  $\kappa\omega$  with the topology defined by declaring  $U \subseteq \kappa_{\mathcal{F}}\omega$  be open if  $p \in U \setminus (\omega \cup \{u\})$  implies there is some  $A \in p$  such that  $A \subseteq U$  and  $u \in U$  implies there is some  $F \in \mathcal{F}$  and  $A \in u$  such that  $A \cup F \subseteq U$ . We have that  $\tau(\beta\omega) \subset \tau(\kappa_{\mathcal{F}}\omega) \subset \tau(\kappa\omega)$ . Now, since  $\tau(\beta\omega) \subset \tau(\kappa_{\mathcal{F}}\omega)$  we have that  $\kappa_{\mathcal{F}}\omega$  is Hausdorff and since  $\tau(\kappa_{\mathcal{F}}\omega) \subset \tau(\kappa\omega)$  we have that  $\kappa_{\mathcal{F}}\omega$  is H-closed. The topology of  $\kappa_{\mathcal{F}}\omega$  differs from the topology of  $\kappa\omega$  only at the point  $u$  and hence  $\psi(p, \kappa_{\mathcal{F}}\omega) \leq \aleph_0$  for any  $p \in \kappa_{\mathcal{F}}\omega \setminus \{u\}$ . The argument in Example 1

yields  $\psi(u, \kappa_{\mathcal{F}\omega}) = \aleph_0$  and therefore  $\psi(\kappa_{\mathcal{F}\omega}) = \aleph_0$ . Since  $(\kappa_{\mathcal{F}\omega})_s = \beta\omega$ , the argument in Example 1 also shows that  $\overline{\psi}(\kappa_{\mathcal{F}\omega}) = \overline{\psi}(\beta\omega) = \mathfrak{c}$ . Let  $\mathcal{B} \subset \mathcal{U}_u$  be a local base for  $u$ . The trace of  $\mathcal{B}$  on the set  $\kappa\omega \setminus \omega$  is a base for the filter  $\mathcal{F}$  and therefore, size not smaller than  $2^{2^{\mathfrak{c}}}$ , we see that the character of  $u$  in  $\kappa_{\mathcal{F}\omega}$  must be equal to  $2^{|\kappa\omega|}$ . Since  $|\kappa\omega| = 2^{\mathfrak{c}}$ , we have shown that  $\chi(\kappa_{\mathcal{F}\omega}) = 2^{2^{\overline{\psi}(\kappa_{\mathcal{F}\omega})}}$ .

While the gap between pseudocharacter and closed pseudocharacter in an H-closed space can be arbitrarily large (Example 1), there is a certain link between closed pseudocharacter and character. In fact for any space  $X$  we have  $\chi(X) \leq 2^{|X|}$  and consequently, by the inequality  $|X| \leq 2^{\overline{\psi}(X)}$  mentioned at the beginning, we see that  $\chi(X) \leq 2^{2^{\overline{\psi}(X)}}$  must hold for any H-closed space  $X$ . Notice that Example 2 also shows that the previous inequality is the best possible.

A well known property of a compact space  $X$  says that if  $\chi(p, X) \geq \kappa$  for all  $p \in X$  then  $|X| \geq 2^\kappa$  (see the Cech-Pospisil's Theorem in [H]). It was shown in [DP] that an H-closed space can fail to have the previous property. The example developed in [DP] has the nice feature to be first countable, but its existence is only consistent. Here we present an easy example in ZFC when  $\kappa = \mathfrak{c}$ .

**Example 3.** *An H-closed space  $X$  satisfying  $\chi(p, X) = \mathfrak{c}$  for all  $p \in X$  and  $|X| = \mathfrak{c}$ .*

Let  $I$  be the unit interval with the usual topology. Enlarge the topology of  $I$  by declaring that all subsets of  $I$  having cardinality less than  $\mathfrak{c}$  are closed and let  $X$  be the space so obtained. We have  $X_s = I$  and so  $X$  is H-closed. Fix a point  $p \in X$  and suppose there exists a local base  $\mathcal{B}$  for  $p$  in  $X$  such that  $|\mathcal{B}| < \mathfrak{c}$ . Picking a point in each member of  $\mathcal{B}$  other than  $p$  and letting  $C$  be the set so obtained, we see that no element of  $\mathcal{B}$  can be contained in  $(X \setminus C) \cup \{p\}$ . Since the latter set is a neighbourhood of  $p$  in  $X$ , it follows that  $\chi(p, X) \geq \mathfrak{c}$ .

**Example 4.** *A countable H-closed space of uncountable character.*

Let  $Y = \{(0, 0)\} \cup \{(\frac{1}{n}, 0) : n \in N\} \cup \{(\frac{1}{n}, \frac{1}{m}) : n \leq m, n \in N, m \in N\}$ .  $Y$  with the topology inherited from the Euclidean topology of the plane is compact. For any  $A \subset N$  denote by  $\hat{A}$  the set  $\{(\frac{1}{n}, 0) : n \in A\}$ . Fix a free ultrafilter  $\mathcal{F}$  on  $N$  and for any  $F \in \mathcal{F}$  put  $F^* = \hat{F} \cup (Y \setminus \hat{N})$ . Every  $F^*$  is dense in  $Y$ . Now let  $X$  be the space obtained by enlarging the topology of  $Y$  in such a way that every set of the form  $F^*$  is open. Since the family  $\{F^* : F \in \mathcal{F}\}$  is a filter of dense subsets of  $Y$ , it follows that the semiregularization of  $X$  is just  $Y$ . This implies that  $X$  is H-closed. To finish we have to check that  $X$  is not first countable. This will be achieved by showing that the character of the point  $(0, 0)$  is not countable. Assume the contrary and let  $\mathcal{G}$  be a countable fundamental system of neighbourhoods of  $(0, 0)$ . For every  $G \in \mathcal{G}$  let  $H_G = \{n : (\frac{1}{n}, 0) \in G\}$ . Since every  $F^*$  is a neighbourhood of  $(0, 0)$ , it follows that for every  $F \in \mathcal{F}$  there exists some  $G \in \mathcal{G}$  such that  $G \subset F^*$  and consequently  $H_G \subset F$ . The next step is to verify that every  $H_G$  is actually a member of  $\mathcal{F}$ . Fix an element  $G \in \mathcal{G}$ . By the definition of the topology on  $X$ , there exist some neighbourhood  $U$  of  $(0, 0)$

in  $Y$  and some  $F \in \mathcal{F}$  such that  $U \cap F^* \subset G$ . Taking into account what the definition of the topology on  $Y$ , we see that there exists some  $n^* \in N$  such that  $\{(\frac{1}{n}, 0) : n > n^*\} \subset U$  and consequently  $\{n : n > n^*\} \cap F \subset H_G$ . As  $\mathcal{F}$  is an ultrafilter, the set  $\{n : n > n^*\} \cap F$  must belong to  $\mathcal{F}$  and so  $H_G \in \mathcal{F}$ . In conclusion we have shown that the set  $\{H_G : G \in \mathcal{G}\}$  is a base for  $\mathcal{F}$ . This is a contradiction, as it is well known that no free ultrafilter on  $N$  has a countable base. The proof is then complete.  $\square$

It is not possible to have a countable H-closed space in which every point has uncountable character since every countable H-closed space has a dense set of isolated points (see [PW]).

Recalling that for any space  $X$  we always have  $nw(X) \leq |X|$  and  $\chi(X) \leq w(X)$ , it is obvious that if  $X$  is the space in the above example then  $nw(X) < w(X)$ .

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