

## On $m$ -sectorial Schrödinger-type operators with singular potentials on manifolds of bounded geometry

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*Abstract.* We consider a Schrödinger-type differential expression  $H_V = \nabla^* \nabla + V$ , where  $\nabla$  is a  $C^\infty$ -bounded Hermitian connection on a Hermitian vector bundle  $E$  of bounded geometry over a manifold of bounded geometry  $(M, g)$  with metric  $g$  and positive  $C^\infty$ -bounded measure  $d\mu$ , and  $V$  is a locally integrable section of the bundle of endomorphisms of  $E$ . We give a sufficient condition for  $m$ -sectoriality of a realization of  $H_V$  in  $L^2(E)$ . In the proof we use generalized Kato's inequality as well as a result on the positivity of  $u \in L^2(M)$  satisfying the equation  $(\Delta_M + b)u = \nu$ , where  $\Delta_M$  is the scalar Laplacian on  $M$ ,  $b > 0$  is a constant and  $\nu \geq 0$  is a positive distribution on  $M$ .

*Keywords:* Schrödinger operator,  $m$ -sectorial, manifold, bounded geometry, singular potential

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### 1. Introduction and the main result

**1.1 The setting.** Let  $(M, g)$  be a  $C^\infty$  Riemannian manifold without boundary, with metric  $g$  and  $\dim M = n$ . We will assume that  $M$  is connected. We will also assume that  $M$  has bounded geometry. Moreover, we will assume that we are given a positive  $C^\infty$ -bounded measure  $d\mu$ , i.e. in any local coordinates  $x^1, x^2, \dots, x^n$  there exists a strictly positive  $C^\infty$ -bounded density  $\rho(x)$  such that  $d\mu = \rho(x) dx^1 dx^2 \dots dx^n$ .

Let  $E$  be a Hermitian vector bundle over  $M$ . We will assume that  $E$  is a bundle of bounded geometry (i.e. it is supplied by an additional structure: trivializations of  $E$  on every canonical coordinate neighborhood  $U$  such that the corresponding matrix transition functions  $h_{U,U'}$  on all intersections  $U \cap U'$  of such neighborhoods are  $C^\infty$ -bounded, i.e. all derivatives  $\partial_y^\alpha h_{U,U'}(y)$ , where  $\alpha$  is a multiindex, with respect to canonical coordinates are bounded with bounds  $C_\alpha$  which do not depend on the chosen pair  $U, U'$ ).

We denote by  $L^2(E)$  the Hilbert space of square integrable sections of  $E$  with respect to the scalar product

$$(1.1) \quad (u, v) = \int_M \langle u(x), v(x) \rangle d\mu(x).$$

Here  $\langle \cdot, \cdot \rangle$  denotes the fiberwise inner product in  $E_x$ .

In what follows,  $C^\infty(E)$  denotes smooth sections of  $E$ , and  $C_c^\infty(E)$  denotes smooth compactly supported sections of  $E$ .

Let

$$\nabla: C^\infty(E) \rightarrow C^\infty(T^*M \otimes E)$$

be a Hermitian connection on  $E$  which is  $C^\infty$ -bounded as a linear differential operator, i.e. in any canonical coordinate system  $U$  (with the chosen trivializations of  $E|_U$  and  $(T^*M \otimes E)|_U$ ),  $\nabla$  is written in the form

$$\nabla = \sum_{|\alpha| \leq 1} a_\alpha(y) \partial_y^\alpha,$$

where  $\alpha$  is a multiindex, and the coefficients  $a_\alpha(y)$  are matrix functions whose derivatives  $\partial_y^\beta a_\alpha(y)$  for any multiindex  $\beta$  are bounded by a constant  $C_\beta$  which does not depend on the chosen canonical neighborhood.

We will consider a Schrödinger type differential expression of the form

$$H_V = \nabla^* \nabla + V,$$

where  $V$  is a measurable section of the bundle  $\text{End } E$  of endomorphisms of  $E$ . Here

$$\nabla^*: C^\infty(T^*M \otimes E) \rightarrow C^\infty(E)$$

is a differential operator which is formally adjoint to  $\nabla$  with respect to the scalar product (1.1).

If we take  $\nabla = d$ , where  $d: C^\infty(M) \rightarrow \Omega^1(M)$  is the standard differential, then  $d^*d: C^\infty(M) \rightarrow C^\infty(M)$  is called the scalar Laplacian and will be denoted by  $\Delta_M$ .

In what follows, we use the notations

$$(1.2) \quad (\text{Re } V)(x) := \frac{V(x) + (V(x))^*}{2}, \quad (\text{Im } V)(x) := \frac{V(x) - (V(x))^*}{2i}, \quad x \in M,$$

where  $i = \sqrt{-1}$  and  $(V(x))^*$  denotes the adjoint of the linear operator  $V(x): E_x \rightarrow E_x$  (in the sense of linear algebra).

By (1.2), for all  $x \in M$ ,  $(\text{Re } V)(x)$  and  $(\text{Im } V)(x)$  are self-adjoint linear operators  $E_x \rightarrow E_x$ , and we have the following decomposition:

$$V(x) = (\text{Re } V)(x) + i(\text{Im } V)(x).$$

For every  $x \in M$ , we have the following decomposition:

$$(1.3) \quad (\text{Re } V)(x) = (\text{Re } V)^+(x) - (\text{Re } V)^-(x).$$

Here  $(\text{Re } V)^+(x) = P_+(x)(\text{Re } V)(x)$ , where  $P_+(x) := \chi_{[0, +\infty)}((\text{Re } V)(x))$ , and  $(\text{Re } V)^-(x) = -P_-(x)(\text{Re } V)(x)$ , where  $P_-(x) := \chi_{(-\infty, 0)}((\text{Re } V)(x))$ . Here  $\chi_A$  denotes the characteristic function of the set  $A$ .

We make the following assumption on  $V$ .

**Assumption A.**

- (i)  $(\operatorname{Re} V)^+ \in L^1_{\text{loc}}(\operatorname{End} E)$ ,  $(\operatorname{Re} V)^- \in L^1_{\text{loc}}(\operatorname{End} E)$  and  $(\operatorname{Im} V) \in L^1_{\text{loc}}(\operatorname{End} E)$ .
- (ii) There exists a constant  $L > 0$  such that for all  $u \in L^2(E)$  and all  $x \in M$ ,

$$(1.4) \quad |(\operatorname{Im} V)(x)| |u(x)|^2 \leq L \langle (\operatorname{Re} V)^+(x)u(x), u(x) \rangle,$$

where  $|(\operatorname{Im} V)(x)|$  denotes the norm of the operator  $(\operatorname{Im} V)(x): E_x \rightarrow E_x$ ,  $|u(x)|$  denotes the norm in the fiber  $E_x$  and  $\langle \cdot, \cdot \rangle$  denotes the inner product in  $E_x$ .

**1.2 Sobolev space  $W^{1,2}(E)$ .** By  $W^{1,2}(E)$  we will denote the completion of the space  $C_c^\infty(E)$  with respect to the norm  $\|\cdot\|_1$  defined by the scalar product

$$(u, v)_1 := (u, v) + (\nabla u, \nabla v) \quad u, v \in C_c^\infty(E).$$

By  $W^{-1,2}(E)$  we will denote the dual of  $W^{1,2}(E)$ .

**1.3 Quadratic forms.** In what follows, all quadratic forms are considered in the Hilbert space  $L^2(E)$ .

1. By  $h_0$  we denote the quadratic form

$$(1.5) \quad h_0(u) = \int |\nabla u|^2 d\mu$$

with the domain  $D(h_0) = W^{1,2}(E) \subset L^2(E)$ . The quadratic form  $h_0$  is non-negative, densely defined (since  $C_c^\infty(E) \subset D(h_0)$ ) and closed (see Section 1.2).

2. By  $h_1$  we denote the quadratic form

$$(1.6) \quad h_1(u) = \int \langle (\operatorname{Re} V)^+ u, u \rangle + i \langle (\operatorname{Im} V)u, u \rangle d\mu$$

with the domain

$$(1.7) \quad D(h_1) = \left\{ u \in L^2(E) : \int |\langle (\operatorname{Re} V)^+ u, u \rangle + i \langle (\operatorname{Im} V)u, u \rangle| d\mu < +\infty \right\}.$$

Here  $\langle \cdot, \cdot \rangle$  denotes the fiberwise inner product in  $E_x$ .

In what follows, we will denote by  $h_1(\cdot, \cdot)$  the corresponding sesquilinear form obtained via polarization identity from  $h_1$ .

The quadratic form  $h_1$  is sectorial. Indeed, by the inequalities

$$(1.8) \quad |\langle (\operatorname{Im} V)u(x), u(x) \rangle| \leq |(\operatorname{Im} V)(x)u(x)| |u(x)| \leq |(\operatorname{Im} V)(x)| |u(x)|^2$$

and by (1.4), for all  $u \in D(h_1)$ , the values of  $h_1(u)$  lie in a sector of  $\mathbb{C}$  with vertex  $\gamma = 0$ . The form  $h_1$  is densely defined since, by (i) of Assumption A, we have

$C_c^\infty(E) \subset D(h_1)$ . The form  $h_1$  is closed. Indeed, by Theorem VI.1.11 in [6], it suffices to show that the pre-Hilbert space  $D(h_1)$  with the inner product

$$(u, v)_{h_1} = (\operatorname{Re} h_1)(u, v) + (u, v) = \int \langle (\operatorname{Re} V)^+ u, v \rangle d\mu + (u, v),$$

is complete. Here  $(\cdot, \cdot)$  denotes the inner product in  $L^2(E)$  and  $(\operatorname{Re} h_1)(\cdot, \cdot)$  denotes the real part of the sesquilinear form  $h_1(\cdot, \cdot)$  (see the definition below the equation (1.9) in Section VI.1.1 of [6]).

By (1.7), (1.8) and (1.4), it follows that  $D(h_1)$  is the set of all  $u \in L^2(E)$  such that  $\|u\|_{h_1}^2 < +\infty$ , where  $\|\cdot\|_{h_1}$  denotes the norm corresponding to the inner product  $(\cdot, \cdot)_{h_1}$ . By Example VI.1.15 in [6], it follows that  $D(h_1)$  is complete.

3. By  $h_2$  we denote the quadratic form

$$(1.9) \quad h_2(u) = \int \langle -(\operatorname{Re} V)^- u, u \rangle d\mu$$

with the domain

$$(1.10) \quad D(h_2) = \left\{ u \in L^2(E) : \int \langle (\operatorname{Re} V)^- u, u \rangle d\mu < +\infty \right\},$$

where  $\langle \cdot, \cdot \rangle$  denotes the fiberwise inner product in  $E_x$ .

The form  $h_2$  is densely defined because, by (i) of Assumption A, we have  $C_c^\infty(E) \subset D(h_2)$ . Moreover, since for all  $x \in M$ , the operator  $(\operatorname{Re} V)^-(x): E_x \rightarrow E_x$  is self-adjoint, it follows that the quadratic form  $h_2$  is symmetric.

We make the following assumption on  $h_2$ .

**Assumption B.** Assume that  $h_2$  is  $h_0$ -bounded with relative bound  $b < 1$ , i.e.

- (i)  $D(h_2) \supset D(h_0)$ ,
- (ii) there exist constants  $a \geq 0$  and  $0 \leq b < 1$  such that

$$(1.11) \quad |h_2(u)| \leq a\|u\|^2 + b|h_0(u)|, \quad \text{for all } u \in D(h_0),$$

where  $\|\cdot\|$  denotes the norm in  $L^2(E)$ .

*Remark 1.4.* If  $(M, g)$  is a manifold of bounded geometry, Assumption B holds if  $(\operatorname{Re} V)^- \in L^p(\operatorname{End} E)$ , where  $p = n/2$  for  $n \geq 3$ ,  $p > 1$  for  $n = 2$ , and  $p = 1$  for  $n = 1$ . For the proof, see, for example, the proof of Remark 2.1 in [7].

**1.5 A realization of  $H_V$  in  $L^2(E)$ .** We define an operator  $S$  in  $L^2(E)$  by the formula  $Su = H_V u$  on the domain

$$(1.12) \quad \left\{ u \in W^{1,2}(E) : \int \left| \langle (\operatorname{Re} V)^+ u, u \rangle + i \langle (\operatorname{Im} V) u, u \rangle \right| d\mu \right. \\ \left. < +\infty \text{ and } H_V u \in L^2(E) \right\}.$$

We will denote the set in (1.12) by  $\text{Dom}(S)$ .

*Remark 1.6.* For all  $u \in \text{D}(h_0) = W^{1,2}(E)$  we have  $\nabla^* \nabla u \in W^{-1,2}(E)$ . From Corollary 2.11 below it follows that for all  $u \in W^{1,2}(E) \cap \text{D}(h_1)$ , we have  $Vu \in L^1_{\text{loc}}(E)$ . Thus  $H_V u$  in (1.12) is a distributional section of  $E$ , and the condition  $H_V u \in L^2(E)$  makes sense.

*Remark 1.7.* By (1.4) and by (1.8), the set  $\text{Dom}(S)$  in (1.12) is equal to

$$\{u \in W^{1,2}(E) : \int \langle (\text{Re } V)^+ u, u \rangle d\mu < +\infty \text{ and } H_V u \in L^2(E)\}.$$

We now state the main result.

**Theorem 1.8.** *Assume that  $(M, g)$  is a manifold of bounded geometry with positive  $C^\infty$ -bounded measure  $d\mu$ ,  $E$  is a Hermitian vector bundle of bounded geometry over  $M$ , and  $\nabla$  is a  $C^\infty$ -bounded Hermitian connection on  $E$ . Suppose that Assumptions A and B hold. Then the operator  $S$  is  $m$ -sectorial.*

*Remark 1.9.* Theorem 1.8 extends a result of T. Kato; see Theorem VI.4.6(a) in [6] (see also Remark 5(a) in [5]) which was proven for the operator  $-\Delta + V$ , where  $\Delta$  is the standard Laplacian on  $\mathbb{R}^n$  with the standard metric and measure, and  $V \in L^1_{\text{loc}}(\mathbb{R}^n)$  is as in Assumptions A and B above (with  $\text{Im } V = 0$ ). Theorem 1.8 also extends the result in [7] which establishes the self-adjointness of a realization in  $L^2(E)$  of  $H_V = \nabla^* \nabla + V$  on manifold  $(M, g)$  with  $d\mu$ ,  $E$ , and  $\nabla$  as in the hypotheses of Theorem 1.8, and  $V = V_1 + V_2$ , where  $0 \leq V_1 \in L^1_{\text{loc}}(\text{End } E)$  and  $0 \geq V_2 \in L^1_{\text{loc}}(\text{End } E)$  are linear self-adjoint bundle endomorphisms satisfying Assumptions A and B (with  $\text{Im } V = 0$ ).

## 2. Proof of Theorem 1.8

We adopt the arguments from Section VI.4.3 in [6] to our setting with the help of a more general version of Kato's inequality (2.1).

**2.1 Kato's inequality.** We begin with the following variant of Kato's inequality for Bochner Laplacian (for the proof, see Theorem 5.7 in [2]).

**Lemma 2.2.** *Assume that  $(M, g)$  is a Riemannian manifold. Assume that  $E$  is a Hermitian vector bundle over  $M$  and  $\nabla$  is a Hermitian connection on  $E$ . Assume that  $w \in L^1_{\text{loc}}(E)$  and  $\nabla^* \nabla w \in L^1_{\text{loc}}(E)$ . Then*

$$(2.1) \quad \Delta_M |w| \leq \text{Re} \langle \nabla^* \nabla w, \text{sign } w \rangle,$$

where

$$\text{sign } w(x) = \begin{cases} \frac{w(x)}{|w(x)|} & \text{if } w(x) \neq 0, \\ 0 & \text{otherwise.} \end{cases}$$

*Remark 2.3.* The original version of Kato's inequality was proven in Kato [3].

**2.4 Positivity.** In what follows, we will use the following lemma whose proof is given in Appendix B of [2].

**Lemma 2.5.** *Assume that  $(M, g)$  is a manifold of bounded geometry with a smooth positive measure  $d\mu$ . Assume that*

$$(b + \Delta_M)u = \nu \geq 0, \quad u \in L^2(M),$$

where  $b > 0$ ,  $\Delta_M = d^*d$  is the scalar Laplacian on  $M$ , and the inequality  $\nu \geq 0$  means that  $\nu$  is a positive distribution on  $M$ , i.e.  $(\nu, \phi) \geq 0$  for any  $0 \leq \phi \in C_c^\infty(M)$ .

Then  $u \geq 0$  (almost everywhere or, equivalently, as a distribution).

*Remark 2.6.* It is not known whether Lemma 2.5 holds if  $M$  is an arbitrary complete Riemannian manifold. For more details about difficulties in the case of arbitrary complete Riemannian manifolds, see Appendix B of [2].

**Lemma 2.7.** *The quadratic form  $h := (h_0 + h_1) + h_2$  is densely defined, sectorial and closed.*

PROOF: Since  $h_0$  and  $h_1$  are sectorial and closed, it follows by Theorem VI.1.31 from [6] that  $h_0 + h_1$  is sectorial and closed. By (i) of Assumption B it follows that  $D(h_2) \supset D(h_0) \cap D(h_1)$ , and by (1.11), (1.5), and (1.6), the following inequality holds:

$$|h_2(u)| \leq a\|u\|^2 + b|h_0(u) + h_1(u)|, \quad \text{for all } u \in D(h_0) \cap D(h_1),$$

where  $\|\cdot\|$  denotes the norm in  $L^2(E)$ , and  $a \geq 0$  and  $0 \leq b < 1$  are as in (1.11). Thus the quadratic form  $h_2$  is  $(h_0 + h_1)$ -bounded with relative bound  $b < 1$ . Since  $h_0 + h_1$  is a closed sectorial form, by Theorem VI.1.33 from [6], it follows that  $h = (h_0 + h_1) + h_2$  is a closed sectorial form. Since  $C_c^\infty(E) \subset D(h_0) \cap D(h_1) \subset D(h_2)$ , it follows that  $h$  is densely defined.  $\square$

In what follows,  $h(\cdot, \cdot)$  will denote the corresponding sesquilinear form obtained from  $h$  via polarization identity.

**2.8  $m$ -sectorial operator  $H$  associated with  $h$ .** Since  $h$  is a densely defined, closed and sectorial form in  $L^2(E)$ , by Theorem VI.2.1 from [6] there exists an  $m$ -sectorial operator  $H$  in  $L^2(E)$  such that

(i)  $\text{Dom}(H) \subset D(h)$  and

$$h(u, v) = (Hu, v), \quad \text{for all } u \in \text{Dom}(H) \text{ and } v \in D(h),$$

(ii)  $\text{Dom}(H)$  is a core of  $h$ ,

(iii) if  $u \in D(h)$ ,  $w \in L^2(E)$ , and

$$h(u, v) = (w, v)$$

holds for every  $v$  belonging to a core of  $h$ , then  $u \in \text{Dom}(H)$  and  $Hu = w$ .

The operator  $H$  is uniquely determined by condition (i).

We will also use the following lemma.

**Lemma 2.9.** *Assume that  $0 \leq T \in L^1_{\text{loc}}(\text{End } E)$  is a linear self-adjoint bundle map. Assume also that  $u \in Q(T)$ , where  $Q(T) = \{u \in L^2(E) : \langle Tu, u \rangle \in L^1(M)\}$ . Then  $Tu \in L^1_{\text{loc}}(E)$ .*

PROOF: By adding a constant we can assume that  $T \geq 1$  (in operator sense).

Assume that  $u \in Q(T)$ . We choose (in a measurable way) an orthogonal basis in each fiber  $E_x$  and diagonalize  $1 \leq T(x) \in \text{End}(E_x)$  to get  $T(x) = \text{diag}(c_1(x), c_2(x), \dots, c_m(x))$ , where  $0 < c_j \in L^1_{\text{loc}}(M)$ ,  $j = 1, 2, \dots, m$  and  $m = \dim E_x$ .

Let  $u_j(x)$  ( $j = 1, 2, \dots, m$ ) be the components of  $u(x) \in E_x$  with respect to the chosen orthogonal basis of  $E_x$ . Then for all  $x \in M$

$$\langle Tu, u \rangle = \sum_{j=1}^m c_j(x) |u_j(x)|^2.$$

Since  $u \in Q(T)$ , we know that  $0 < \int \langle Tu, u \rangle d\mu < +\infty$ . Since  $c_j > 0$ , it follows that  $c_j |u_j|^2 \in L^1(M)$ , for all  $j = 1, 2, \dots, m$ .

Now, for all  $x \in M$  and  $j = 1, 2, \dots, m$

$$(2.2) \quad 2|c_j u_j| = 2|c_j| |u_j| \leq |c_j| + |c_j| |u_j|^2.$$

The right hand side of (2.2) is clearly in  $L^1_{\text{loc}}(M)$ . Therefore  $c_j u_j \in L^1_{\text{loc}}(M)$ .

But  $(Tu)(x)$  has components  $c_j(x) u_j(x)$  ( $j = 1, 2, \dots, m$ ) with respect to chosen bases of  $E_x$ . Therefore  $Tu \in L^1_{\text{loc}}(E)$ , and the lemma is proven.  $\square$

**Corollary 2.10.** *If  $u \in D(h_1)$ , then  $((\text{Re } V)^+ + i(\text{Im } V))u \in L^1_{\text{loc}}(E)$ .*

PROOF: Let  $u \in D(h_1)$ . Then  $\langle (\text{Re } V)^+ u, u \rangle \in L^1(M)$ , and, hence, by Lemma 2.9 we get  $(\text{Re } V)^+ u \in L^1_{\text{loc}}(E)$ . By (1.4) we obtain  $|(\text{Im } V)||u|^2 \in L^1(M)$ . Since for all  $x \in M$  we have

$$2|(\text{Im } V)(x)u(x)| \leq 2|(\text{Im } V)(x)||u(x)| \leq |(\text{Im } V)(x)| + |(\text{Im } V)(x)||u(x)|^2,$$

and since, by Assumption A,  $|(\text{Im } V)| \in L^1_{\text{loc}}(M)$ , it follows that  $(\text{Im } V)u \in L^1_{\text{loc}}(E)$ , and the corollary is proven.  $\square$

**Corollary 2.11.** *If  $u \in D(h)$ , then  $Vu \in L^1_{\text{loc}}(E)$ .*

PROOF: Let  $u \in D(h) = D(h_0) \cap D(h_1)$ . By Corollary 2.10 it follows that  $((\text{Re } V)^+ + i(\text{Im } V))u \in L^1_{\text{loc}}(E)$ . Since  $D(h) \subset D(h_2)$  and since  $(\text{Re } V)^-(x) \geq 0$  as an operator  $E_x \rightarrow E_x$ , by Lemma 2.9 we have  $(\text{Re } V)^- u \in L^1_{\text{loc}}(E)$ . Thus  $Vu \in L^1_{\text{loc}}(E)$ , and the corollary is proven.  $\square$

**Lemma 2.12.** *The following operator relation holds:  $H \subset S$ .*

PROOF: We will show that for all  $u \in \text{Dom}(H)$ , we have  $Hu = H_V u$ .

Let  $u \in \text{Dom}(H)$ . By property (i) of Section 2.8 we have  $u \in \text{D}(h)$ ; hence, by Corollary 2.11 we get  $Vu \in L^1_{\text{loc}}(E)$ . Then, for any  $v \in C_c^\infty(E)$ , we have

$$(2.3) \quad (Hu, v) = h(u, v) = (\nabla u, \nabla v) + \int \langle Vu, v \rangle d\mu,$$

where  $(\cdot, \cdot)$  denotes the  $L^2$ -inner product.

The first equality in (2.3) holds by property (i) from Section 2.8, and the second equality holds by definition of  $h$ .

Hence, using integration by parts in the first term on the right hand side of the second equality in (2.3) (see, for example Lemma 8.8 from [2]), we get

$$(2.4) \quad (u, \nabla^* \nabla v) = \int \langle Hu - Vu, v \rangle d\mu, \quad \text{for all } v \in C_c^\infty(E).$$

Since  $Vu \in L^1_{\text{loc}}(E)$  and  $Hu \in L^2(E)$ , it follows that  $(Hu - Vu) \in L^1_{\text{loc}}(E)$ , and (2.4) implies  $\nabla^* \nabla u = Hu - Vu$  (as distributional sections of  $E$ ). Therefore,

$$\nabla^* \nabla u + Vu = Hu,$$

and this shows that  $Hu = H_V u$  for all  $u \in \text{Dom}(H)$ .

Now by definition of  $S$  it follows that  $\text{Dom}(H) \subset \text{Dom}(S)$  and  $Hu = Su$  for all  $u \in \text{Dom}(H)$ . Therefore  $H \subset S$ , and the lemma is proven.  $\square$

**Lemma 2.13.**  *$C_c^\infty(E)$  is a core of the quadratic form  $h_0 + h_1$ .*

PROOF: It suffices to show (see Theorem VI.1.21 in [6] and the paragraph above the equation (1.31) in Section VI.1.3 of [6]) that  $C_c^\infty(E)$  is dense in the Hilbert space  $\text{D}(h_0 + h_1) = \text{D}(h_0) \cap \text{D}(h_1)$  with the inner product

$$(u, v)_{h_0+h_1} := h_0(u, v) + (\text{Re } h_1)(u, v) + (u, v),$$

where  $h_0(\cdot, \cdot)$  denotes the sesquilinear form corresponding to  $h_0$  via polarization identity and  $(\text{Re } h_1)$  denotes the real part of the sesquilinear form  $h_1(\cdot, \cdot)$ .

Let  $u \in \text{D}(h_0 + h_1)$  and  $(u, v)_{h_0+h_1} = 0$  for all  $v \in C_c^\infty(E)$ . We will show that  $u = 0$ .

We have

$$(2.5) \quad 0 = h_0(u, v) + (\text{Re } h_1)(u, v) + (u, v) \\ = (u, \nabla^* \nabla v) + \int \langle (\text{Re } V)^+ u, v \rangle d\mu + (u, v).$$



Here we used integration by parts in the first term on the right hand side of the second equality.

Since  $u \in D(h_0 + h_1) \subset D(h_1)$ , it follows that  $|\langle (\operatorname{Re} V)^+ u, u \rangle + i \langle (\operatorname{Im} V) u, u \rangle| \in L^1(M)$ . Hence  $\langle (\operatorname{Re} V)^+ u, u \rangle \in L^1(M)$ . By Lemma 2.9 we get  $(\operatorname{Re} V)^+ u \in L^1_{\text{loc}}(E)$ . From (2.5) we get the following distributional equality:

$$(2.6) \quad \nabla^* \nabla u = -(\operatorname{Re} V)^+ u.$$

From (2.6) we have  $\nabla^* \nabla u \in L^1_{\text{loc}}(E)$ . By Lemma 2.2 and by (2.6), we obtain

$$(2.7) \quad \Delta_M |u| \leq \operatorname{Re} \langle \nabla^* \nabla u, \operatorname{sign} u \rangle = \langle -((\operatorname{Re} V)^+ + 1)u, \operatorname{sign} u \rangle \leq -|u|.$$

The last inequality in (2.7) holds since  $(\operatorname{Re} V)^+(x) \geq 0$  as an operator  $E_x \rightarrow E_x$ . Therefore,

$$(2.8) \quad (\Delta_M + 1)|u| \leq 0.$$

By Lemma 2.5, it follows that  $|u| \leq 0$ . So  $u = 0$ , and the lemma is proven.  $\square$

**Lemma 2.14.**  $C_c^\infty(E)$  is a core of the quadratic form  $h = (h_0 + h_1) + h_2$ .

PROOF: Since the quadratic form  $h_2$  is  $(h_0 + h_1)$ -bounded, the lemma follows immediately from Lemma 2.13.  $\square$

### 3. Proof of Theorem 1.8

By Lemma 2.12 we have  $H \subset S$ , so it is enough to show that  $\operatorname{Dom}(S) \subset \operatorname{Dom}(H)$ .

Let  $u \in \operatorname{Dom}(S)$ . By definition of  $\operatorname{Dom}(S)$  in Section 1.5, we have  $u \in D(h_0) \subset D(h_2)$  and  $u \in D(h_1)$ . Hence  $u \in D(h)$ .

For all  $v \in C_c^\infty(E)$  we have

$$h(u, v) = h_0(u, v) + h_1(u, v) + h_2(u, v) = (u, \nabla^* \nabla v) + \int \langle V u, v \rangle d\mu = (H_V u, v).$$

The last equality holds since  $H_V u = S u \in L^2(E)$ . By Lemma 2.14 it follows that  $C_c^\infty(E)$  is a form core of  $h$ . Now from property (iii) of Section 2.8 we have  $u \in \operatorname{Dom}(H)$  with  $H u = H_V u$ . This concludes the proof of the theorem.  $\square$

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