

## Minimal $KC$ -spaces are countably compact

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*Abstract.* In this paper we show that a minimal space in which compact subsets are closed is countably compact. This answers a question posed in [1].

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### 1. Introduction

A topological space  $(X, \tau)$  is said to be a  $KC$ -space if every compact set is closed. Since every  $KC$ -space is  $T_1$  and every  $T_2$  space is  $KC$ , the  $KC$ -property can be thought of as a separation axiom between  $T_1$  and  $T_2$ .

In 1943 E. Hewitt [3] proved that a compact  $T_2$  space is minimal  $T_2$  and maximal compact, see also [5], [6], [7]. R. Larson [4] asked whether a space is maximal compact iff it is minimal  $KC$ . A related question is whether every  $KC$ -topology contains a minimal  $KC$ -topology. W. Fleissner proved that this is not always true. In [2] he constructed a  $KC$ -topology which does not contain a minimal  $KC$ -topology.

In a recent paper, [1], the authors proved that every minimal  $KC$ -topology on a countable set is compact and posed the question whether minimal  $KC$ -spaces are countably compact.

In this paper we answer affirmatively this question by proving that *every  $KC$ -space which is not countably compact has a strictly weaker  $KC$ -topology.*

### 2. Preliminaries and notations

A filter over a set  $X$  is a collection  $\mathcal{F}$  of subsets of  $X$  such that:

- (i)  $\emptyset \notin \mathcal{F}$ ;
- (ii) if  $F_1 \in \mathcal{F}$  and  $F_2 \in \mathcal{F}$  then  $F_1 \cap F_2 \in \mathcal{F}$ ;
- (iii) if  $A, B \subset X$ ,  $A \in \mathcal{F}$  and  $B \supset A$  then  $B \in \mathcal{F}$ .

A filter  $\mathcal{F}$  over a set  $X$  is an ultrafilter if

$$\forall A \subset X \text{ either } A \in \mathcal{F} \text{ or } X - A \in \mathcal{F}.$$

With  $|A|$  we denote the cardinality of a set  $A$ , and with  $A^c$  the complement of a set  $A$ .

For  $\kappa$  an infinite cardinal number, an ultrafilter  $\mathcal{F}$  over  $\kappa$  is uniform if  $|F| = \kappa$  for all  $F \in \mathcal{F}$ .

### 3. Minimal $KC$ -spaces are countably compact

Let  $(X, \tau)$  be a  $KC$ -space which is not countably compact. Then there exists a set  $\{x_n : n \in \omega\} \subset X$  which has no accumulation points. We define a new topology  $\tau'$  on  $X$  as follows:

- For every  $x \in X$  with  $x \neq x_0$  the open neighborhoods of  $x$  in  $\tau'$  coincide with the open neighborhoods of  $x$  in  $\tau$ .
- (NT) An open neighborhood of  $x_0$  in  $\tau'$  is every  $\tau$ -open set containing  $x_0$  and a member of  $\mathcal{F}$ , where  $\mathcal{F}$  is a uniform ultrafilter defined over the set  $\{x_n : 0 < n < \omega\}$ .

**Remark 3.1.** It is clear that  $\tau'$  is a  $T_1$ -topology and that  $x_0$  is the unique point which can be  $\tau'$ -accumulation point for a set  $K \subset X$  while it is not  $\tau$ -accumulation point of it.

Our aim is to show that if  $(X, \tau)$  is a  $KC$ -space, which is not countably compact, then the topology  $\tau'$  defined by (NT) is also a  $KC$ -topology.

Let  $K \subset X$  be  $\tau'$ -compact. If  $x_0 \notin K$  then  $K$  is  $\tau$ -compact, thus  $\tau$ -closed, and since  $\{x_n : n \in \omega\}$  has no accumulation points we have that  $\{x_n : n \in \omega\} \cap K$  is finite. Hence  $x_0$  is not a  $\tau'$ -accumulation point of  $K$  and it follows that  $K$  is  $\tau'$ -closed.

So it remains to prove that if  $K \subset X$  is  $\tau'$ -compact and  $x_0 \in K$ , then  $K$  is  $\tau'$ -closed, or equivalently it is  $\tau$ -closed. Therefore we assume for the rest of the paper that  $x_0 \in K$ .

To prove that a  $\tau'$ -compact set  $K$  is  $\tau'$ -closed we consider the following cases for a member of the ultrafilter  $\mathcal{F}$  in relation with  $K$ :

- (1)  $F \subset K$ ;
- (2)  $F \cap \overline{K}^\tau = \emptyset$ ;
- (3)  $F \subset (\overline{K}^\tau - K)$ .

Lemma 3.2 below refers to case (1), Lemma 3.3 to case (2), while Lemmas 3.4 and 3.5 to case (3).

**Lemma 3.2.** *Let  $(X, \tau)$  be a  $KC$ -space which is not countably compact,  $\{x_n : n \in \omega\}$  a set without accumulation points,  $\mathcal{F}$  a uniform ultrafilter defined over  $\{x_n : 0 < n < \omega\}$ ,  $\tau'$  the topology defined by (NT) and  $K$  a  $\tau'$ -compact set. Then there is an  $F \in \mathcal{F}$ , such that  $F \cap K = \emptyset$ .*

PROOF: Since  $\mathcal{F}$  is an ultrafilter, either there exists an  $F \in \mathcal{F}$  such that  $F \subset K$ , or there is an  $F \in \mathcal{F}$  with  $F \cap K = \emptyset$ .

In the first case let  $F = F_1 \cup F_2$  with  $F_1 \cap F_2 = \emptyset$  and  $|F_1| = |F_2| = \omega$ .

Then if  $F_1 \in \mathcal{F}$ , there exists an open set  $U(F_1)$  containing  $F_1$  with

$$U(F_1) \cap F_2 = \emptyset.$$

Thus there is a  $\tau'$ -open neighborhood of  $x_0$ ,  $U'(x_0)$ , with

$$F_2 \cap U'(x_0) = \emptyset,$$

and  $F_2$  will be an infinite subset of  $K$  without  $\tau'$ -accumulation points, which is impossible. So there must be an  $F \in \mathcal{F}$  such that:  $F \cap K = \emptyset$ .  $\square$

**Lemma 3.3.** *With the assumptions of Lemma 3.2 if there exists an  $F_0 \in \mathcal{F}$  such that  $F_0 \cap \overline{K}^\tau = \emptyset$ , then  $K$  is  $\tau'$ -closed.*

PROOF: Since  $x_0 \in K$  it suffices to show that  $K$  is  $\tau$ -closed.

Let  $\{U_i : i \in I\}$ , be a  $\tau$ -open cover of  $K$  and let  $V_0$  be an open set containing  $F_0$  such that  $V_0 \cap K = \emptyset$ .

Then the collection  $\{U_i \cup V_0 : i \in I\}$ , is a  $\tau'$ -open cover of  $K$  and thus it has a finite subcover, say,  $U_{i_1} \cup U_{i_2} \cup \dots \cup U_{i_n} \cup V_0$ .

The set  $\bigcup\{U_{i_k} : k = 1, 2, \dots, n\}$  covers  $K$ , so  $K$  is  $\tau$ -compact and therefore  $\tau$ -closed.  $\square$

It remains to consider the case where there is an  $F \in \mathcal{F}$  such that  $F \subset (\overline{K}^\tau - K)$ . We will show first that in this case  $K$  is countably compact.

**Lemma 3.4.** *Let  $(X, \tau)$  be a  $KC$ -space which is not countably compact,  $\tau'$  the topology defined by (NT),  $K$  a  $\tau'$ -compact set,  $x_0 \in K$  and  $F_0 \in \mathcal{F}$  with  $F_0 \subset (\overline{K}^\tau - K)$ . Then  $K$  is  $\tau$ -countably compact.*

PROOF: Let  $F_0 \in \mathcal{F}$  be such that  $F_0 \subset (\overline{K}^\tau - K)$ , with  $F_0 = \{x_{n_k} : k \in \omega\}$  and suppose for a contradiction that  $K$  is not  $\tau$ -countably compact.

Then there exists a set  $\{y_n : n \in \omega\} \subset K$  without  $\tau$ -accumulation points in  $K$  and since  $x_0 \in K$ , there is a  $\tau$ -open neighborhood  $U(x_0)$  of  $x_0$  with

$$U(x_0) \cap \{y_n : n \in \omega\} = \emptyset.$$

We claim that for every infinite subset  $\{y_{n_k} : k \in \omega\}$  of  $\{y_n : n \in \omega\}$  and for every  $z \in F_0$  there is a  $\tau$ -open neighborhood of  $z$ ,  $U(z)$ , such that

$$|U(z)^c \cap \{y_{n_k} : k \in \omega\}| = \omega.$$

Actually, for otherwise  $\{y_{n_k} : k \in \omega\} \rightarrow z$  and since  $\tau$  is a  $KC$ -topology,  $z$  will be the unique  $\tau$ -accumulation point of  $\{y_{n_k} : k \in \omega\}$ .

But, there is an  $F \in \mathcal{F}$  with  $z \notin F$ , thus there is an open set  $W(F)$  containing  $F$  with  $z \notin W(F)$ . So  $z \notin U(x_0) \cup W(F)$ , and consequently  $x_0$  is not a  $\tau'$ -accumulation point of  $\{y_{n_k} : k \in \omega\}$ .

It follows that  $\{y_{n_k} : k \in \omega\}$  is an infinite subset of  $K$  with no  $\tau'$ -accumulation points in  $K$  which is impossible, since  $K$  is  $\tau'$ -compact.

So, let  $U(x_{n_1})$  be an open neighborhood of  $x_{n_1}$  such that

$$|U(x_{n_1})^c \cap \{y_n : n \in \omega\}| = \omega,$$

and let

$$z_1 \in U(x_{n_1})^c \cap \{y_n : n \in \omega\}.$$

Let  $U(x_{n_2})$  be an open neighborhood of  $x_{n_2}$  with

$$|U(x_{n_2})^c \cap U(x_{n_1})^c \cap \{y_n : n \in \omega\}| = \omega,$$

and let

$$z_2 \in U(x_{n_2})^c \cap U(x_{n_1})^c \cap \{y_n : n \in \omega\},$$

with  $z_2 \neq z_1$  and inductively, let  $U(x_{n_k})$  be an open neighborhood of  $x_{n_k}$  with

$$|U(x_{n_1})^c \cap U(x_{n_2})^c \cap \dots \cap U(x_{n_k})^c \cap \{y_n : n \in \omega\}| = \omega,$$

and let

$$z_k \in U(x_{n_1})^c \cap U(x_{n_2})^c \cap \dots \cap U(x_{n_k})^c \cap \{y_n : n \in \omega\},$$

with

$$z_k \notin \{z_1, z_2, \dots, z_{k-1}\}.$$

The so defined sequence  $\{z_n : n \in \omega\}$  is a subset of  $K$  and since

$$\{z_n : n \in \omega\} \cap [U(x_0) \cup \bigcup \{U(x_{n_k}) : k \in \omega\}] = \emptyset,$$

it follows that it has no  $\tau'$ -accumulation points in  $K$ , contrary to the hypothesis. □

**Lemma 3.5.** *Let  $(X, \tau)$  be a  $KC$ -space which is not countably compact. Then  $X$  can be condensed onto a weaker  $KC$ -topology.*

PROOF: Let  $\tau'$  be the topology defined by (NT). We will prove that  $(X, \tau')$  is a  $KC$ -space.

For this we will show that there is an  $F \in \mathcal{F}$  with  $F \cap \overline{K}^{\tau'} = \emptyset$  and the proof will be a consequence of Lemma 3.3.

Indeed, suppose for a contradiction that there is  $F_0 \in \mathcal{F}$  such that  $F_0 \subset \overline{K}^{\tau'}$ . Let  $F_1, F_2$  be subsets of  $F_0$  with  $|F_1| = |F_2| = \omega$ ,  $F_1 \cup F_2 = F_0$ , and  $F_1 \cap F_2 = \emptyset$ .

Suppose that  $F_1 \in \mathcal{F}$ . We claim that  $F_1 \cup K$  is  $\tau$ -compact.

Actually let  $\{U_i : i \in I\}$  be a  $\tau$ -open cover of  $F_1 \cup K$ . Then countably many of the  $U_i$ 's, say,  $\{U_{i_n} : n \in \omega\}$ , cover the countable set  $F_1$ , and if we write

$$U'(x_0) = U(x_0) \cup \bigcup \{U_{i_n} : n \in \omega\},$$

where  $U(x_0)$  is a member of  $\{U_i : i \in I\}$  which contains  $x_0$  then  $U'(x_0)$  is a  $\tau'$ -open neighborhood of  $x_0$ , and we will have

$$\bigcup \{U_i : i \in I\} = U'(x_0) \cup \bigcup \{V_j : j \in J\},$$

where  $\{V_j : j \in J\}$  is a subcollection of  $\{U_i : i \in I\}$  which covers  $U'(x_0)^c \cap K$ . But  $\{U_i : i \in I\}$  is also a  $\tau'$ -open cover of  $K$ . So it contains a finite subcover.

It turns out that finitely many  $V_j$ 's, say,  $V_{j_1}, V_{j_2}, \dots, V_{j_k}$ , cover the set

$$K \cap (U(x_0) \cup \bigcup \{U_{i_n} : n \in \omega\})^c = K \cap U'(x_0)^c.$$

Now

$$\bigcup \{V_{j_m} : m = 1, 2, \dots, k\} \cup \bigcup \{U_{i_n} : n \in \omega\} \cup U(x_0)$$

is a countable  $\tau$ -open cover of  $K$  and in view of Lemma 3.4 it has a finite subcover.

So  $K \cup F_1$  is  $\tau$ -compact and therefore  $\tau$ -closed. But this is impossible since every  $x \in F_2$  is a  $\tau$ -accumulation point of  $K$ .

So there must be an  $F \in \mathcal{F}$  with

$$F \cap \overline{K}^\tau = \emptyset$$

and Lemma 3.3 implies that  $K$  is  $\tau$ -closed. Now from Remark 3.1 it follows that  $K$  is  $\tau'$ -closed.  $\square$

The following theorem answers a question posed in [1]. Its proof is an immediate consequence of Lemma 3.5.

**Theorem 3.6.** *Every minimal  $KC$ -space is countably compact.*

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