

## On Boman’s theorem on partial regularity of mappings

TEJINDER S. NEELON

*Abstract.* Let  $\Lambda \subset \mathbb{R}^n \times \mathbb{R}^m$  and  $k$  be a positive integer. Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a locally bounded map such that for each  $(\xi, \eta) \in \Lambda$ , the derivatives  $D_\xi^j f(x) := \left. \frac{d^j}{dt^j} f(x + t\xi) \right|_{t=0}$ ,  $j = 1, 2, \dots, k$ , exist and are continuous. In order to conclude that any such map  $f$  is necessarily of class  $C^k$  it is necessary and sufficient that  $\Lambda$  be *not* contained in the zero-set of a nonzero homogenous polynomial  $\Phi(\xi, \eta)$  which is linear in  $\eta = (\eta_1, \eta_2, \dots, \eta_m)$  and homogeneous of degree  $k$  in  $\xi = (\xi_1, \xi_2, \dots, \xi_n)$ .

This generalizes a result of J. Boman for the case  $k = 1$ . The statement and the proof of a theorem of Boman for the case  $k = \infty$  is also extended to include the Carleman classes  $C\{M_k\}$  and the Beurling classes  $C(M_k)$  (Boman J., *Partial regularity of mappings between Euclidean spaces*, Acta Math. **119** (1967), 1–25).

*Keywords:*  $C^k$  maps, partial regularity, Carleman classes, Beurling classes

*Classification:* 26B12, 26B35

A continuous function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  that is differentiable when restricted to arbitrary differentiable curves is not necessarily differentiable as a function of several variables (see [12]). Indeed, there are discontinuous functions  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  whose restrictions to arbitrary analytic arcs are analytic [2]. But a  $C^\infty$  function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  whose restriction to every line segment is real analytic is necessarily real analytic ([13]). In [8], [9], [10] and [11] this result was extended by considering restrictions to algebraic curves and surfaces of functions belonging to more general classes of infinitely differentiable functions. It is also well known that a function  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  that is infinitely differentiable in each variable separately may be no better than measurable ([7]). In [4], the obverse problem is considered; for vector valued functions hypothesis is made on the source as well as the target space. In this note, Theorem 4 of [4] is generalized to  $C^k$ ,  $k \geq 1$ , the class of functions that have continuous derivatives up to order  $k$ .

Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a locally bounded map. For  $(\xi, \eta) \in \mathbb{R}^n \times \mathbb{R}^m$ , set

$$D_\xi \langle f, \eta \rangle (x) := \left. \frac{d}{dt} \langle f(x + t\xi), \eta \rangle \right|_{t=0} \quad \text{in the sense of distributions,}$$

where  $\langle \cdot, \cdot \rangle$  denotes the inner product on  $\mathbb{R}^m$ . By the Leibniz Integral rule, we have

$$\frac{d}{dt} \int \langle f(x + t\xi), \eta \rangle dx = \int \frac{d}{dt} \langle f(x + t\xi), \eta \rangle dx.$$

Let  $k, 1 \leq k < \infty$ , be fixed. For  $\xi \in \mathbb{R}^n$ , denote by  $C_\xi^k(\mathbb{R}^n)$  the space of all continuous functions  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  such that the derivatives  $D_\xi^j f(x) := \frac{d^j}{dt^j} f(x + t\xi)|_{t=0}, j = 1, 2, \dots, k$ , exist and are continuous. Similarly,  $C_\xi^\infty(\mathbb{R}^n) := \bigcap_{k=0}^\infty C_\xi^k(\mathbb{R}^n)$ .

We are interested in finding the necessary and sufficient conditions on a subset  $\Lambda \subset \mathbb{R}^n \times \mathbb{R}^m$  to have the following property:

$$\begin{aligned} &\text{if } f : \mathbb{R}^n \rightarrow \mathbb{R}^m \text{ is locally bounded} \\ &\text{such that } \langle f, \eta \rangle \in C_\xi^k(\mathbb{R}^n), \forall (\xi, \eta) \in \Lambda, \text{ then } f \in C^k(\mathbb{R}^n). \end{aligned}$$

The case  $k = 1$  and  $k = \infty$  was dealt in [4].

Let  $\mathbb{Z}_+^n$  denote all  $n$ -tuples of nonnegative integers. For  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n) \in \mathbb{Z}_+^n$ , set  $|\alpha| = \alpha_1 + \alpha_2 + \dots + \alpha_n$ . The set  $\mathbb{Z}_+^n$  of multi-indices is assumed to be ordered lexicographically i.e. for  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n), \beta = (\beta_1, \beta_2, \dots, \beta_n) \in \mathbb{Z}_+^n$ , define  $\alpha \prec \beta$  if there is  $i, 1 \leq i \leq n$ , such that  $\alpha_1 = \beta_1, \alpha_2 = \beta_2, \dots, \alpha_{i-1} = \beta_{i-1}, \alpha_i < \beta_i$ .

Let  $k_n = \binom{k+n-1}{k}$  denote the number of monomials of degree  $k$  in  $n$  variables. Then for any  $\varphi \in C_c^\infty(\mathbb{R}^n)$ , we have

$$\begin{aligned} \int D_\xi \langle f, \eta \rangle (x) \varphi(x) dx &= \frac{d}{dt} \int \langle f(x + t\xi), \eta \rangle \varphi(x) dx \Big|_{t=0} \\ &= \frac{d}{dt} \left\langle \int f(x) \varphi(x - t\xi) dx, \eta \right\rangle \Big|_{t=0} = \left\langle \int f(x) \frac{d}{dt} \varphi(x - t\xi) dx, \eta \right\rangle \Big|_{t=0} \\ &= - \sum_i \xi_i \left\langle \int f(x) \partial_i \varphi(x - t\xi) dx, \eta \right\rangle \Big|_{t=0} = \sum_{i,j} \xi_i \eta_j \int \partial_i f_j(x) \varphi(x) dx. \end{aligned}$$

By iteration, we obtain the formula for higher-order distributional derivatives:

$$(1) \quad D_\xi^p \langle f, \eta \rangle (x) = \sum_{|\alpha|=p} \sum_{j=1}^m \xi^\alpha \eta_j \partial^\alpha f_j(x).$$

Let

$$\mathcal{B}_k := \left\{ \Phi(\xi, \eta) = \sum_{j=1}^m \sum_{|\alpha|=k} \varphi_{\alpha j} \xi^\alpha \eta_j : \varphi_{\alpha j} \in \mathbb{R}, \alpha \in \mathbb{Z}_+^n, j \in \mathbb{Z}_+ \right\}.$$

For any function  $\Phi(\xi, \eta)$ , set  $\|\Phi\| := \max_{\|\xi\| \leq 1, \|\eta\| \leq 1} |\Phi(\xi, \eta)|$ . For a subset  $K \subset \subset \Lambda$ , ( $\subset \subset$  denotes the compact inclusion) put  $\|\Phi\|_K := \max_{(\xi, \eta) \in K} |\Phi(\xi, \eta)|$ .

**Theorem 1.** *Let  $\Lambda \subset \mathbb{R}^n \times \mathbb{R}^m$  be a subset and  $k$  be a positive integer. The following conditions are equivalent:*

- (i)  $\Lambda$  is not contained in an algebraic hypersurface defined by an element of  $\mathcal{B}_k$  i.e.

$$\Phi \in \mathcal{B}_k, \Phi|_\Lambda \equiv 0 \Rightarrow \Phi \equiv 0;$$

(ii) there exists a set consisting of  $m \cdot k_n$  points

$$(\xi^*, \eta^*) = \left\{ \left( \xi^{(p)}, \eta^{(p)} \right) \in \Lambda, p = 1, 2, \dots, mk_n \right\} \text{ such that } \det \Delta(\xi^*, \eta^*) \neq 0,$$

where

$$\Delta(\xi^*, \eta^*) := \left[ \left( \xi^{(p)} \right)^\alpha \eta_j^{(p)} \right]_{|\alpha|=k, 1 \leq j \leq m, 1 \leq p \leq mk_n};$$

(iii) if  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$  is locally bounded and  $\langle f, \eta \rangle \in C^k_\xi(\mathbb{R}^n), \forall (\xi, \eta) \in \Lambda$ , then  $f \in C^k(\mathbb{R}^n, \mathbb{R}^m)$ .

If any one of the above equivalent conditions is satisfied, then there exists a constant  $B$  depending only on  $\Lambda$  such that the following inequality holds for all locally bounded maps  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$ :

$$(2) \quad \max_{1 \leq j \leq m} \max_{|\alpha|=k} |\partial^\alpha f_j(x)| \leq B \cdot \sup_{(\xi, \eta) \in \Lambda} \left| D^k_\xi \langle f, \eta \rangle(x) \right|, \forall x \in \mathbb{R}^n.$$

PROOF: We will prove (i) $\Rightarrow$ (ii) $\Rightarrow$ (iii) $\Rightarrow$ (i).

(i) $\Rightarrow$ (ii). Suppose  $\det \Delta(\xi^*, \eta^*) = 0$  for every set of  $mk_n$  elements  $(\xi^*, \eta^*) = \{(\xi^{(p)}, \eta^{(p)})\}_{1 \leq p \leq mk_n}$  in  $\Lambda$ . Fix one such set  $(\xi^*, \eta^*)$  so that the rank  $l := \text{rank } \Delta(\xi^*, \eta^*)$  is positive. Let  $\Delta^{(l)}$  denote some  $l \times l$  submatrix of  $\Delta(\xi^*, \eta^*)$  such that the minor  $\det \Delta^{(l)}$  is nonzero. Let  $\Delta^{(l+1)}$  be a  $(l+1) \times (l+1)$  submatrix of  $\Delta(\xi^*, \eta^*)$  that contains  $\Delta^{(l)}$  as a submatrix. Replace the point  $(\xi^{(p_0)}, \eta^{(p_0)})$  in  $\Delta^{(l+1)}$  which does not appear in  $\Delta^{(l)}$  by variables  $(\xi, \eta) \in \mathbb{R}^n \times \mathbb{R}^m$ . By expanding  $\Delta^{(l+1)}$  along the row where the replacement took place we obtain an element

$$\Phi(\xi, \eta) = \sum_{\alpha, j} \varphi_{\alpha j} \tilde{\xi}^\alpha \tilde{\eta}_j,$$

of  $\mathcal{B}_k$  which is nonzero since one of its coefficients coincides with  $\det \Delta^{(l)}$  up to a sign.

Since  $\Delta(\xi^*, \eta^*)$  has rank  $l$ , we find that  $\Phi(\xi, \eta) = 0$  for all  $(\xi, \eta) \in (\xi^*, \eta^*)$ . If  $\Phi(\xi, \eta) = 0$  for all  $(\xi, \eta) \in \Lambda$ , we are done. Otherwise, choose a point  $(\tilde{\xi}, \tilde{\eta}) \in \Lambda \setminus (\xi^*, \eta^*)$  with  $\Phi(\tilde{\xi}, \tilde{\eta}) \neq 0$ .

Let  $(\xi^*, \tilde{\eta}^*)$  be the set which is obtained from  $(\xi^*, \eta^*)$  by replacing the point  $(\xi^{(p_0)}, \eta^{(p_0)})$  by  $(\tilde{\xi}, \tilde{\eta})$ . Then, the rank  $\Delta(\xi^*, \tilde{\eta}^*) \geq l + 1$ . By repeating above procedure, we find a sequence of subsets  $(\xi^*, \eta^*)^{(i)} \subset \Lambda, i = 1, 2, 3, \dots$ , each with  $mk_n$  elements such that the rank  $\Delta(\xi^*, \eta^*)^{(j)}$  is a strictly increasing sequence of nonnegative integers. After finitely many steps we obtain a nonzero element of  $\mathcal{B}_k$  which vanishes on the entire  $\Lambda$ .

(ii) $\Rightarrow$ (iii). Let  $(\xi^*, \eta^*) = \{(\xi^{(p)}, \eta^{(p)}) \in \Lambda\}_{1 \leq p \leq mk_n}$  be a set of points such that  $\det \Delta(\xi^*, \eta^*) \neq 0$ . By applying Cramer's rule to (1), we get

$$\partial^\alpha f_j(x) = \sum_{p=1}^{mk_n} \frac{\det \Delta_{\alpha j}^{(p)}}{\det \Delta} D_{\xi^{(p)}}^k \langle f, \eta^{(p)} \rangle (x) \text{ in the distributional sense,}$$

where  $\Delta_{\alpha j}^{(p)}$  denotes the cofactor obtained by deleting the  $(\alpha, j)$ -th row and the  $p$ -th column. Since  $D_\xi^k \langle f, \eta \rangle \in C^0$  for all  $(\xi, \eta) \in \Lambda$ , we have

$$\partial^\alpha f_j(x) = \sum_{p=1}^{mk_n} \frac{\det \Delta_{\alpha j}^{(p)}}{\det \Delta} D_{\xi^{(p)}}^k \langle f, \eta^{(p)} \rangle (x) \in C^0.$$

Furthermore, there exists a constant  $B = B(k, f, \Lambda)$  such that

$$|\partial^\alpha f_j(x)| \leq \sum_{p=1}^{mk_n} \left| \frac{\det \Delta_{\alpha j}^{(p)}}{\det \Delta} \right| \left| D_{\xi^{(p)}}^k \langle f, \eta^{(p)} \rangle (x) \right| \leq B \cdot \sup_{(\xi, \eta) \in \Lambda} |D_\xi^k \langle f, \eta \rangle (x)|,$$

for all  $\alpha$  with  $|\alpha| = k$ , and all  $j = 1, 2, \dots, m$ .

(iii) $\Rightarrow$ (i). Suppose (i) does not hold. Let  $\Phi \in \mathcal{B}_k$  be such that  $\Phi|_\Lambda \equiv 0$ . We can write  $\Phi(\xi, \eta) = \langle \varphi, (\xi), \eta \rangle$ , where  $\varphi, (\xi) := (\varphi_1(\xi), \varphi_2(\xi), \dots, \varphi_m(\xi))$  and  $\varphi_j(\xi) = \sum_{|\alpha|=k} \varphi_{\alpha j} \xi^\alpha, j = 1, 2, \dots, m$ , homogeneous polynomials of degree  $k$ .

Define the map

$$f(x) := \begin{cases} (\ln |\ln |x||) \varphi, (x) & \text{if } x \neq 0, \\ 0 & \text{if } x = 0. \end{cases}$$

Clearly  $f \notin C^k$  and  $f$  is  $C^\infty$  in  $\{x \in \mathbb{R}^n : 0 < |x| < 1\}$ . We will prove that  $D_\xi^k \langle f(x), \eta \rangle$  exists at  $x = 0$ , for all  $(\xi, \eta) \in \Lambda$ . It is easy to see that here are constants  $C_\alpha$  such that

$$|\partial^\alpha \ln |\ln |x|| \leq \frac{C_\alpha}{|x|^{|\alpha|} |\ln |x||}, \forall \alpha, |\alpha| \geq 1.$$

Since the  $\varphi_j(x)$ 's are homogeneous polynomials of degree  $k$ , when the Leibniz's formula is applied to the products  $(\ln |\ln |x||)\varphi_j(x)$ , it is clear that all terms in  $D_\xi^p \langle f(x), \eta \rangle, 1 \leq p \leq k$ , except possibly

$$(3) \quad (\ln |\ln |x||) \langle D_\xi^k \varphi, (x), \eta \rangle$$

tend to 0 as  $x \rightarrow 0$ . We only need to prove that the function in (3) also tends to 0 as  $x \rightarrow 0$ . By expanding  $(x_1 + t\xi_1)^{\alpha_1} (x_2 + t\xi_2)^{\alpha_2} \dots (x_n + t\xi_n)^{\alpha_n}$  binomially, we can write

$$\varphi, (x + t\xi) := \varphi, (x) + P(x, \xi, t) + \varphi, (\xi)t^k.$$

But since  $(\xi, \eta) \in \Lambda$ ,

$$\langle D_\xi^k \varphi.(x), \eta \rangle = k! \langle \varphi.(\xi), \eta \rangle = 0.$$

It follows that  $|D_\xi^p \langle f(0), \eta \rangle| = 0$  for  $p \leq k$ . Thus,  $f \in C_\xi^k$  for all  $(\xi, \eta) \in \Lambda$ , but  $f \notin C^k$ . □

**Remark 1** (cf. [6]). Suppose (i) is satisfied for all  $k \geq 0$ . It would be of interest to know whether there exists a constant  $\rho = \rho(\Lambda)$ , depending only on some appropriate notion of capacity of  $\Lambda$ , so that (2) is satisfied with  $B = (\rho(\Lambda))^{-k}$  for all  $f$  and all  $k$ .

**Remark 2.** Suppose  $\Lambda$  satisfies (i) or (ii). The proof of Theorem 1 shows that if  $f$  is continuous and  $D_\xi^k \langle f, \eta \rangle = 0, \forall (\xi, \eta) \in \Lambda$ , then  $f$  is a polynomial. The assumption of continuity of  $f$  is not necessary but our proof is valid only if  $f$  is continuous (see [4]).

**Remark 3.** If  $\Lambda$  satisfies (i), then  $\Lambda$  contains at least  $mk_n$  elements. Furthermore, if (i) holds for  $k$  then (i) also holds for all  $j \leq k$ . Suppose there exists  $\Phi \in B_j, j < k$  such that  $\Phi|_\Lambda \equiv 0$  but  $\Phi \not\equiv 0$ . Then,  $\xi_1^{k-j} \Phi \in B_k, \xi_1^{k-j} \Phi|_\Lambda \equiv 0$  but this is a contradiction.

Let  $\{M_k\}_{k=0}^\infty$ , be a sequence of nonnegative numbers. For  $h > 0$  and  $K \subset \subset \mathbb{R}^n$  define the seminorm on  $C^\infty(\mathbb{R}^n)$ ,

$$p_{h,K}(f) = \sup_{\alpha \in \mathbb{Z}_+^n} \sup_{x \in K} \frac{|\partial^\alpha f(x)|}{h^{|\alpha|} M_{|\alpha|}}.$$

The spaces

$$C \{M_k\} = \{f \in C^\infty(\mathbb{R}^n) : \forall K \subset \subset \mathbb{R}^n, \exists h > 0, \text{ s.t. } p_{h,K}(f) < \infty\}$$

and

$$C (M_k) = \{f \in C^\infty(\mathbb{R}^n) : p_{h,K}(f) < \infty, \forall K \subset \subset \mathbb{R}^n, \forall h > 0\}$$

are called the Carleman and Beurling classes, respectively. The classes  $C \{(k!)^\nu\}, \nu > 1$ , known as Gevrey classes, are especially important in partial differential equations and harmonic analysis. The class  $C \{k!\}$  is precisely the class of real analytic functions.

We assume that

(4)  $M_0 = 1$  and  $M_k \geq k!, \forall k;$

(5)  $M_k^{1/k}$  is strictly increasing;

$$(6) \quad \exists C > 0 \text{ such that } M_{k+1} \leq C^k M_k, \forall k.$$

These conditions insure that the classes  $C\{M_k\}$  and  $C(M_k)$  are nontrivial and are closed under product and differentiation of functions. For more properties of these spaces, see [5], [11] and references therein.

It is well known that  $f \in C^\infty(\mathbb{R}^n)$  if and only if  $\sup_{\xi \in \mathbb{R}^n} |\xi|^j |\widehat{\chi f}(\xi)| < \infty, \forall \chi \in C_c^\infty(\mathbb{R}^n), j \geq 1$ . A similar characterization is also available for  $C\{M_k\}$  (see [5]) a routine modification of which yields an analogous characterization of  $C(M_k)$ .

Let  $r > 0$ . Choose a sequence of cut-off functions  $\chi_{(j)} \in C_c^\infty, j = 1, 2, \dots$ , such that  $\chi_{(j)}(x) = 1$  if  $|x - x_0| < r$ ,  $\chi_{(j)}(x) = 0$  if  $|x - x_0| > 3r$  and

$$|\partial^\alpha \chi_{(j)}(x)| \leq (C_1 j)^{|\alpha|}, \forall j, \forall |\alpha| \leq j, \forall x,$$

where the constant  $C_1$  is independent of  $j$ .

Then  $f \in C\{M_k\}$  (*resp.*  $C(M_k)$ ) in a neighborhood of  $x_0 \in \mathbb{R}^n$  if and only if there exists a constant  $h > 0$  (*resp.* for every  $h > 0$ ) such that

$$\sup_{\xi \in \mathbb{R}^n} \sup_{j \geq 1} h^{-j} M_j^{-1} |\xi|^j |\widehat{f \chi_{(j)}}(\xi)| < \infty.$$

Call a subset  $\Lambda \subset \mathbb{R}^n \times \mathbb{R}^m$  a determining set for bilinear forms of rank 1 if there is no nonzero bilinear form  $\varphi(\xi, \eta), \xi \in \mathbb{R}^n, \eta \in \mathbb{R}^m$  of rank 1 such that  $\varphi(\xi, \eta) = 0$  for all  $(\xi, \eta) \in \Lambda$ .

Clearly  $\Lambda$  is a determining set for bilinear forms of rank 1 if and only if

$$\langle u, \xi \rangle \langle v, \eta \rangle = 0, \forall (\xi, \eta) \in \Lambda \Rightarrow |u||v| = 0$$

(here  $\langle u, \xi \rangle$  and  $\langle v, \eta \rangle$  are dot products on  $\mathbb{R}^n$  and  $\mathbb{R}^m$ , respectively), or equivalently,

$$\bigcap_{(\xi, \eta) \in \Lambda} \{(u, v) \in \mathbb{R}^n \times \mathbb{R}^m : \langle u, \xi \rangle \langle v, \eta \rangle = 0\} = (\mathbb{R}^n \times 0) \cup (0 \times \mathbb{R}^m).$$

Since  $\mathbb{R}[u, v]$  is a Noetherian ring,  $\Lambda$  contains a finite subset  $\Lambda'$  such that the sets  $\{\langle u, \xi \rangle \langle v, \eta \rangle : (\xi, \eta) \in \Lambda\}$  and  $\{\langle u, \xi \rangle \langle v, \eta \rangle : (\xi, \eta) \in \Lambda'\}$  generate the same ideal in  $\mathbb{R}[u, v]$  and thus define the same varieties:

$$\begin{aligned} & \bigcap_{(\xi, \eta) \in \Lambda} \{(u, v) \in \mathbb{R}^n \times \mathbb{R}^m : \langle u, \xi \rangle \langle v, \eta \rangle = 0\} \\ &= \bigcap_{(\xi, \eta) \in \Lambda'} \{(u, v) \in \mathbb{R}^n \times \mathbb{R}^m : \langle u, \xi \rangle \langle v, \eta \rangle = 0\}. \end{aligned}$$

Thus, any determining set for bilinear forms of rank 1 contains a finite determining set for bilinear forms of rank 1.

Let  $C\{M_k\}(\xi)$  (resp.  $C(M_k)(\xi)$ ) denote the set of all  $f \in C^\infty(\mathbb{R}^n)$  such that for every subset  $K \subset \subset \mathbb{R}^n$ ,  $\sup_{j,x \in K} |D_\xi^j f(x)| \hbar^{-j} M_j^{-1} < \infty, \forall j$ , for some  $\hbar > 0$  (resp. for every  $\hbar > 0$ ).

**Theorem 2.** Let  $\{M_k\}_{k=0}^\infty$  be a sequence of nonnegative numbers satisfying the conditions (4), (5) and (6). The following statements are equivalent:

- (i)  $\Lambda$  is a determining set for bilinear forms of rank 1;
- (ii) for any locally bounded map  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$ ,

$$\langle \eta, f \rangle \in C\{M_k\}(\xi), \forall (\eta, \xi) \in \Lambda \Rightarrow f \in C\{M_k\};$$

- (iii) for any locally bounded map  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$ ,

$$\langle \eta, f \rangle \in C(M_k)(\xi), \forall (\eta, \xi) \in \Lambda \Rightarrow f \in C(M_k);$$

- (iv) for any locally bounded map  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$ ,

$$\langle \eta, f \rangle \in C^\infty(\xi), \forall (\eta, \xi) \in \Lambda \Rightarrow f \in C^\infty.$$

PROOF: (cf. Theorem 4 in [4]) Assume (i) holds. By the remark above, by replacing  $\Lambda$  by a subset, if necessary, we may assume  $\Lambda$  is finite. Suppose for every  $(\eta, \xi) \in \Lambda$ ,  $\langle \eta, f \rangle \in C\{M_k\}(\xi)$  (resp.  $\langle \eta, f \rangle \in C(M_k)(\xi)$ ). Now for a suitable function  $f$ ,

$$\begin{aligned} \langle \xi, z \rangle \widehat{\langle \eta, f \rangle}(z) &= \langle \xi, z \rangle \langle \eta, \widehat{f}(z) \rangle = \left\langle \eta, i \int [\langle \xi, \partial_x \rangle e^{-i\langle x, z \rangle}] f(x) dx \right\rangle \\ &= \left\langle \eta, -i \int e^{-i\langle x, z \rangle} \langle \xi, \partial_x f \rangle(x) dx \right\rangle = \left\langle \eta, -i \int e^{-i\langle x, z \rangle} D_\xi f(x) dx \right\rangle. \end{aligned}$$

Let  $g_{(j)} := f\chi_{(j)} \in C\{M_k\}$  near a fixed point  $x_0$ . Assume, without loss of generality,  $x_0 = 0$ . By assumption, for all  $(\xi, \eta) \in \Lambda$  there exist constants  $C = C_{\xi\eta}$  and  $\hbar = \hbar_{\xi\eta} > 0$  (resp. for all  $(\xi, \eta) \in \Lambda$  and for all  $\hbar > 0$  there exists a constant  $C = C_{\xi\eta, \hbar}$ ) such that

$$\begin{aligned} \left| \widehat{\langle \eta, g_{(j)} \rangle}(\zeta) \right| |\langle \xi, \zeta \rangle|^j &= \left| \langle \eta, \widehat{g_{(j)}}(\zeta) \rangle \right| |\langle \xi, \zeta \rangle|^j \leq C \hbar^j M_j, \\ \forall (\xi, \eta) \in \Lambda, \zeta \in \mathbb{R}^n, j \in \mathbb{Z}_+. \end{aligned}$$

The function

$$(7) \quad \mathbb{R}^n \times \mathbb{R}^m \ni (u, v) \rightarrow \sum_{(\xi, \eta) \in \Lambda} |\langle \eta, v \rangle| |\langle \xi, u \rangle|^l,$$

is homogeneous of degree 1 in  $v$ , of homogeneous degree  $l$  in  $u$ . Since none of the terms  $|\langle \eta, v \rangle| |\langle \xi, u \rangle|^l$  can vanish on all of  $\Lambda$ , the function in (7) has a positive

minimum on the compact set  $\{(u, v) : |u| = 1, |v| = 1\}$ . Thus, there is an  $\varepsilon > 0$  such that

$$\sum_{(\xi, \eta) \in \Lambda} |\langle \eta, v \rangle| |\langle \xi, u \rangle|^l \geq \varepsilon |v| |u|^l,$$

(see [Lemma 1][4]). Applying this to  $u = \zeta, v = \widehat{g_{(j)}}(\zeta)$ , we get

$$|\widehat{g_{(j)}}(\zeta)| |\zeta|^l \leq \varepsilon^{-1} \sum_{(\xi, \eta) \in \Lambda} |\langle \eta, \widehat{g_{(j)}}(\zeta) \rangle| |\langle \xi, \zeta \rangle|^l \leq C \hbar^j M_j,$$

where  $\hbar = \max_{(\xi, \eta) \in \Lambda} \hbar_{\xi\eta}$  (resp. for all  $\hbar > 0$ ) and  $C = \varepsilon^{-1} \sum_{(\xi, \eta) \in \Lambda} C_{\xi\eta}$ . Thus (ii) and (iii) hold. By setting  $\hbar = 1$  and  $M_j = 1, \forall j$ , in the above argument, it is clear that (iii) holds as well.

Conversely if  $\Lambda$  is not a determinant set for bilinear forms of rank 1, there exist  $u \neq 0$  and  $v \neq 0$  such that

$$\langle u, \xi \rangle \langle v, \eta \rangle = 0, \forall (\xi, \eta) \in \Lambda.$$

Let  $h : \mathbb{R} \rightarrow \mathbb{R}$  be an arbitrary continuous function. Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$  be defined as  $f(z) = h(\langle u, z \rangle) \cdot v$ . Then

$$\left( \frac{d}{dt} \langle \eta, f(z + t\xi) \rangle \right) \Big|_{t=0} = \langle \eta, v \rangle \langle u, \xi \rangle h'(\langle u, z + t\xi \rangle) \Big|_{t=0} \equiv 0.$$

Thus  $\langle \eta, f \rangle \in C(M_k)(\xi) \subset C\{M_k\}(\xi) \subset C^\infty(\xi), \forall (\xi, \eta) \in \Lambda$  but  $f$  need not be even differentiable. □

### REFERENCES

- [1] Agbor D., Boman J., *On modulus of continuity of mappings between Euclidean spaces*, Math. Scandinavica, to appear.
- [2] Bierstone E., Milman P.D., Parusinski A., *A function which is arc-analytic but not continuous*, Proc. Amer. Math. Soc. **113** (1991), 419–423.
- [3] Bochnak J., *Analytic functions in Banach spaces*, Studia Math. **35** (1970), 273–292.
- [4] Boman J., *Partial regularity of mappings between Euclidean spaces*, Acta Math. **119** (1967), 1–25.
- [5] Hörmander L., *The Analysis of Linear Partial Differential Operators I*, Springer, Berlin, 2003.
- [6] Korevaar J., *Applications of  $\mathbb{C}^n$  capacities*, Several complex variables and complex geometry, Part 1 (Santa Cruz, CA, 1989), Amer. Math. Soc., Providence, RI, 1991, pp. 105–118.
- [7] Krantz S.G., Parks H.R., *A Primer of Real Analytic Functions*, second edition, Birkhäuser, Boston, MS, 2002.
- [8] Neelon T.S., *On separate ultradifferentiability of functions*, Acta Sci. Math. (Szeged) **64** (1998), 489–494.
- [9] Neelon T.S., *Ultradifferentiable functions on lines in  $\mathbb{R}^n$* , Proc. Amer. Math. Soc. **127** (1999), 2099–2104.
- [10] Neelon T.S., *A Bernstein–Walsh type inequality and applications*, Canad. Math. Bull. **49** (2006), 256–264.



- [11] Neelon T.S., *Restrictions of power series and functions to algebraic surfaces*, Analysis (Munich) **29** (2009), no. 1, page 1–15.
- [12] Rudin W., *Principles of Mathematical Analysis*, 3rd edition, McGraw-Hill, New York, 1976.
- [13] Siciak J., *A characterization of analytic functions of  $n$  real variables*, Studia Mathematica **35** (1970), 293–297.

DEPARTMENT OF MATHEMATICS, CALIFORNIA STATE UNIVERSITY SAN MARCOS, SAN MARCOS, CA 92096-0001, USA

*E-mail:* [neelon@csusm.edu](mailto:neelon@csusm.edu)

*URL:* <http://www.csusm.edu/neelon/neelon.html>

(Received February 14, 2011, revised July 14, 2011)