

## Universal minimal dynamical system for reals

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*Abstract.* Our aim is to give a description of  $S(\mathbb{R})$  and  $M(\mathbb{R})$ , the phase space of universal ambit and the phase space of universal minimal dynamical system for the group of real numbers with the usual topology.

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A *dynamical system* is a triple  $(G, X, \pi)$ , where  $G$  is a topological  $T_0$  group (therefore Tychonoff),  $X$  is a compact Hausdorff space and  $\pi$  is a continuous action on  $X$ , that is,  $\pi: G \times X \rightarrow X$  is a continuous map such that:

- (a)  $\pi(0, x) = x$  for each  $x \in X$ ,
- (b)  $\pi(g + h, x) = \pi(g, \pi(h, x))$  for each  $g, h \in G$  and each  $x \in X$

(we use an additive notation for the group  $G$ , then 0 is the neutral element of  $G$ ). If  $(G, X, \pi)$  is a dynamical system then the space  $X$  is called a *phase space* of the system  $(G, X, \pi)$ . We use the notations  $\pi^g$  and  $\pi_x$  for homeomorphisms  $\pi^g: X \rightarrow X$  and continuous maps  $\pi_x: G \rightarrow X$  defined in the following way:  $\pi^g(x) := \pi(g, x)$  and  $\pi_x(g) := \pi(g, x)$ . The set  $\pi_x(G)$  is called the *orbit* of  $x \in X$  in the system  $(G, X, \pi)$ . If the orbit of a point  $x \in X$  is dense in the phase space  $X$  of dynamical system  $(G, X, \pi)$  then the quadruple  $(G, X, \pi; x)$  is called an *ambit* and the point  $x$  a *base point* of the ambit  $(G, X, \pi; x)$ .

Let  $(G, X, \pi)$  and  $(G, Y, \varrho)$  be dynamical systems and let  $\phi: X \rightarrow Y$  be a continuous map. If  $\phi \circ \pi^g = \varrho^g \circ \phi$  for any  $g \in G$  then  $\phi$  is called a *homomorphism* of the system  $(G, X, \pi)$  into the system  $(G, Y, \varrho)$ . If we deal with ambits  $(G, X, \pi; x)$  and  $(G, Y, \varrho; y)$ , then homomorphism of the systems  $\phi: (G, X, \pi) \rightarrow (G, Y, \varrho)$  such that  $\phi(x) = y$  is called a *homomorphism of ambits*. In the case when  $\phi$  is homeomorphism (surjection) of spaces then  $\phi$  is called an *isomorphism (epimorphism)* of dynamical systems or ambits.

A dynamical system  $(G, X, \pi)$  is called *minimal* if there is no proper closed non-empty set  $M \subseteq X$  such that  $\pi^g(M) \subseteq M$  for each  $g \in G$ . The system is minimal iff the orbit  $\pi_x(G)$  is dense in  $X$  for each  $x \in X$ .

An ambit  $(G, X, \pi; x)$  is called *universal* for a group  $G$ , if for any ambit  $(G, Y, \varrho; y)$  there exists an epimorphism of ambits  $\phi: (G, X, \pi; x) \rightarrow (G, Y, \varrho; y)$ .

The construction of universal ambit for any group was presented by Brook in [3] (see also [6, IV.5] for other description). The phase space of universal ambit for group  $G$  is Samuel compactification of  $G$  with respect to its right uniformity. Equivalently, we can obtain the phase space of this ambit if we take space of all so-called regular ultrafilters with respect to a strong inclusion “ $\Subset$ ” defined in the following way: if  $F$  is closed and  $U$  open in  $G$  then  $F \Subset U$  whenever there exists an open neighborhood  $V$  of the neutral element such that  $V + F \subseteq U$ . A family  $\mathcal{F}$  of non-empty open subsets of  $G$  is called a *regular ultrafilter* whenever the following conditions hold:

- (i) if  $F \Subset U$ , then either  $U \in \mathcal{F}$  or  $G \setminus F \in \mathcal{F}$ ,
- (ii) for every  $U_1, U_2 \in \mathcal{F}$  there is an open, non-empty subset  $U \subseteq G$  such that  $U \in \mathcal{F}$  and  $\text{cl}U \Subset U_1 \cap U_2$ .

Let  $S(G) = \{\mathcal{F} \subseteq \mathcal{P}(G) : \mathcal{F} \text{ is regular ultrafilter}\}$ . For every open, non-empty subset  $U$  of  $G$ , we set  $\tilde{U} = \{F \in S(G) : U \in \mathcal{F}\}$ . The family  $\{\tilde{U} : U \text{ is an open non-empty subset of } G\}$  generates compact, Hausdorff topology on  $S(G)$  and the group  $G$  can be embedded in  $S(G)$  as a dense subspace  $\{\mathcal{F}_g : g \in G\}$ , where  $\mathcal{F}_g = \{U : U \text{ is open in } G \text{ \& } g \in U\}$ , see [1, IV.5.], where the notion of strong inclusion corresponds with a notion of relation of subordination. Let  $\pi_G : G \times S(G) \rightarrow S(G)$  be defined in the following way:

$$\pi_G(g, \mathcal{F}) := L_g(\mathcal{F}),$$

where  $L_g$  is an extension on  $S(G)$  of the left translation  $l_g : G \rightarrow G$ , expressed by the formula  $L_g(\mathcal{F}) = \{g + U : U \in \mathcal{F}\}$ .

**Proposition.** *The system  $(G, S(G), \pi_G; 0)$  is a universal ambit for a group  $G$ .*

PROOF: (a) The map  $\pi_G$  is a continuous action.

It is not hard to see that conditions (a) and (b) of the definition of action are fulfilled. Let  $L_g(\mathcal{F}) \in \tilde{V}$ , where  $V$  is non-empty, open subset of  $G$ . There is  $W \in \mathcal{F}$  such that  $g + W = V$ . Let  $U$  be an open set such that  $U \in \mathcal{F}$  and  $\text{cl}U \Subset W$ . By the definition of “ $\Subset$ ”, there exists an open neighbourhood  $H$  of the neutral element of  $G$  for which  $H + \text{cl}U \subseteq W$ . Thus  $(g + H) \times \tilde{U}$  is an open neighbourhood of  $(g, \mathcal{F})$  and  $\pi_G((g + H) \times \tilde{U}) \subseteq \tilde{V}$ .

(b) The system  $(G, S(G), \pi_G; 0)$  is universal.

Let  $(G, X, \pi; x)$  be an ambit. The map  $\pi_x : G \rightarrow X$  is uniformly continuous with respect to the ordinary inclusion on  $X$  (the unique strong inclusion on compact, Hausdorff space) and strong inclusion on topological group  $G$  defined above. Indeed, if  $F$  is closed,  $U$  is open in  $X$  and  $F \subseteq U$  then using compactness of  $X$  we can find an open neighbourhood  $H$  of  $0$  such that  $H + \pi_x^{-1}(F) \subseteq \pi_x^{-1}(U)$ , i.e.  $\pi_x^{-1}(F) \Subset \pi_x^{-1}(U)$ . By the theorem of Taïmanov (see e.g. [4, 3.2.1.]) there exists a continuous map  $\phi : S(G) \rightarrow X$  such that  $\phi \upharpoonright G = \pi_x$ . Since  $\phi(0) = x$  and  $\phi \circ \pi_G^g \upharpoonright G = \pi^g \circ \phi \upharpoonright G$  for each  $g \in G$  then  $\phi$  is an epimorphism of ambits.  $\square$

It is worth to notice that for discrete group  $G$ ,  $S(G)$  is equivalent to  $\beta G$ , Čech-Stone compactification of the discrete space  $G$ .

If we take a minimal closed invariant non-empty subset  $M$  in the system  $(G, S(G), \pi_G)$  (such a set is called shortly minimal), and consider the system  $(G, M, \pi_G \upharpoonright G \times M)$ , then we get a *universal minimal dynamical system* for the group  $G$ . This means that for any minimal dynamical system  $(G, Y, \varrho)$  there is an epimorphism  $\phi: (G, M, \pi_G \upharpoonright G \times M) \rightarrow (G, Y, \varrho)$ . It is known that such universal minimal system is unique up to an isomorphism of dynamical systems (see e.g. [6, IV.3.17, IV.4.34.3]). Let  $M(G)$  denote the phase space of this system.

First, we will describe space  $S(\mathbb{R})$ , where  $\mathbb{R}$  is the additive group of real numbers with usual topology. Let  $\mathbb{I}$  denote  $[0; 1]$ , the closed interval of  $\mathbb{R}$  and  $\mathbb{Z}$  the group of integers.

Let  $h: \mathbb{Z} \rightarrow \mathbb{Z}$  be the shift map, i.e.  $h(n) = n + 1$ , and  $H: \beta\mathbb{Z} \rightarrow \beta\mathbb{Z}$  the extension of  $h$  over the Čech-Stone compactification of  $\mathbb{Z}$ . If we identify points  $(p, 1)$  with points  $(H(p), 0)$  in product  $\beta\mathbb{Z} \times \mathbb{I}$ , then we obtain a quotient space  $\beta\mathbb{Z} \times \mathbb{I}/H$ , which is a compactification of real line. For any integer  $n \in \mathbb{Z}$  and any real number  $x \in (0; 1)$  we define homeomorphisms  $\Lambda_n$  and  $\Lambda_x$  of  $\beta\mathbb{Z} \times \mathbb{I}/H$  in the following way:

$$\Lambda_n([(p, t)]_H) := [(H^n(p), t)]_H$$

and

$$\Lambda_x([(p, t)]_H) := \begin{cases} [(p, t + x)]_H & \text{if } t + x < 1, \\ [(H(p), t + x - 1)]_H & \text{otherwise.} \end{cases}$$

For arbitrary  $x \in \mathbb{R}$ , let  $\Lambda_x := \Lambda_{[x]} \circ \Lambda_{\{x\}}$ , where  $[x]$  and  $\{x\}$  denote integer and fractional part of  $x$  respectively. Define a map  $\varrho: \mathbb{R} \times (\beta\mathbb{Z} \times \mathbb{I}/H) \rightarrow \beta\mathbb{Z} \times \mathbb{I}/H$  by the formula

$$\varrho(x, w) := \Lambda_x(w).$$

Let  $q$  denote the quotient map from  $\beta\mathbb{Z} \times \mathbb{I}$  onto  $\beta\mathbb{Z} \times \mathbb{I}/H$ .

**Lemma.** *The system  $(\mathbb{R}, \beta\mathbb{Z} \times \mathbb{I}/H, \varrho; z)$ , where  $z = [(0, 0)]_H$  is an ambit.*

PROOF: Conditions (a) and (b) of the definition of action are obviously fulfilled. We will show continuity of  $\varrho$  at points of the form  $(n, w)$ , where  $n \in \mathbb{Z}$ . Let  $V$  be an open neighbourhood of  $\varrho(n, w)$ . Suppose, that  $w = [(p, t)]_H$  and  $t \in (0; 1)$ . Since  $\varrho(n, w) = [(H^n(p), t)]_H$  then there exist an open set  $W \subseteq \beta\mathbb{Z}$  and  $\varepsilon > 0$  such that  $(H^n(p), t) \in W \times (t - \varepsilon; t + \varepsilon) \subseteq q^{-1}(V)$ . A set

$$(n - \frac{\varepsilon}{2}; n + \frac{\varepsilon}{2}) \times q(H^{-n}(W) \times (t - \frac{\varepsilon}{2}; t + \frac{\varepsilon}{2}))$$

is an open neighbourhood of  $(n, w)$  and its image by  $\varrho$  is contained in  $V$ . If  $w = [(p, 1)]_H$  then we can find an open set  $W \subseteq \beta\mathbb{Z}$  and  $\varepsilon > 0$  such that  $(H^n(p), 1) \in W \times (1 - \varepsilon; 1] \subseteq q^{-1}(V)$  and  $(H^{n+1}(p), 0) \in H(W) \times [0; \varepsilon) \subseteq q^{-1}(V)$ . In this situation a set

$$U = (n - \frac{\varepsilon}{2}; n + \frac{\varepsilon}{2}) \times q((H^{-n}(W) \times (1 - \frac{\varepsilon}{2}; 1]) \cup (H^{-n+1}(W) \times [0; \frac{\varepsilon}{2})))$$

is open neighbourhood of  $(n, w)$  and  $\varrho(U) \subseteq V$ . Proof of continuity of  $\varrho$  at points of the form  $(x, w)$ , where  $x \in (0; 1)$  is similar. Let  $x \in \mathbb{R} \setminus \mathbb{Z}$  be arbitrary. If  $V$  is an open set such that  $\varrho(x, w) \in V$  then there exist open sets  $V_1, V_2$  such that  $\{x\} \in V_1 \subseteq (0; 1), w \in V_2 \subseteq \beta\mathbb{Z} \times \mathbb{I}/H$  and  $\varrho(V_1 \times V_2) \subseteq \Lambda_{[x]}^{-1}(V)$ . Obviously  $\varrho(\{[x] + V_1\} \times V_2) \subseteq V$ .

The orbit of point  $z = [(0, 0)]_H$  equals  $\mathbb{Z} \times \mathbb{I}/H$ , thus is dense in  $\beta\mathbb{Z} \times \mathbb{I}/H$ .  $\square$

**Theorem.** *The universal ambit for the group of reals with usual topology is isomorphic to the ambit  $(\mathbb{R}, \beta\mathbb{Z} \times \mathbb{I}/H, \varrho; z)$ .*

PROOF: Since  $(\mathbb{R}, \beta\mathbb{Z} \times \mathbb{I}/H, \varrho; z)$  is an ambit, we have an epimorphism

$$\phi: (\mathbb{R}, S(\mathbb{R}), \pi_{\mathbb{R}}; 0) \rightarrow (\mathbb{R}, \beta\mathbb{Z} \times \mathbb{I}/H, \varrho; z).$$

Since  $\phi(0) = z, \phi \upharpoonright \mathbb{R}: \mathbb{R} \rightarrow \mathbb{Z} \times \mathbb{I}/H$  is a map of form  $\phi(x) = [[x], \{x\}]_H$ . We will show that  $\phi$  is one-to-one. Let  $\mathcal{F}, \mathcal{F}' \in S(\mathbb{R})$  and  $\mathcal{F} \neq \mathcal{F}'$ . Let  $U \in \mathcal{F}, U' \in \mathcal{F}'$  and  $U \cap U' = \emptyset$ . We can find open sets  $V, V'$  such that  $V \in \mathcal{F}, V' \in \mathcal{F}'$  and  $\text{cl}_{\mathbb{R}} V \Subset U, \text{cl}_{\mathbb{R}} V' \Subset U'$ . By the definition of “ $\Subset$ ”, there is  $\varepsilon > 0$  such that  $((-\varepsilon; \varepsilon) + \text{cl}_{\mathbb{R}} V) \cap \text{cl}_{\mathbb{R}} V' = \emptyset$ . Obviously,  $\mathcal{F} \in \text{cl}_{S(\mathbb{R})} \text{cl}_{\mathbb{R}} V$  and  $\mathcal{F}' \in \text{cl}_{S(\mathbb{R})} \text{cl}_{\mathbb{R}} V'$ . Let denote  $F_1 = \phi(\text{cl}_{\mathbb{R}} V)$  and  $F_2 = \phi(\text{cl}_{\mathbb{R}} V')$ . In addition, for brevity sake, we denote  $\beta\mathbb{Z} \times \mathbb{I}/H$  by  $K$  and  $\beta\mathbb{Z} \times \mathbb{I}$  by  $K'$ . It suffices to prove that  $\text{cl}_K F_1 \cap \text{cl}_K F_2 = \emptyset$ . Suppose there exists  $[(p, t)]_H \in \text{cl}_K F_1 \cap \text{cl}_K F_2$ . Let  $\delta < \varepsilon/2$ .

Case 1.  $0 < t < 1$

In this case  $(p, t) \in \text{cl}_{K'} q^{-1}(F_1) \cap \text{cl}_{K'} q^{-1}(F_2)$ . Let

$$A_j = \{k \in \mathbb{Z} : (\{k\} \times (t - \delta; t + \delta)) \cap q^{-1}(F_j) \neq \emptyset\}, \quad j \in \{1, 2\}.$$

One can verify that  $A_1, A_2 \in p$ , so  $A_1 \cap A_2 \in p$ . Thus there exists  $k \in A_1 \cap A_2$ . By the definition of  $A_1$  and  $A_2$  there are  $[(k, t_1)]_H \in F_1$  and  $[(k, t_2)]_H \in F_2$  where  $|t_1 - t_2| < 2\delta < \varepsilon$ . This is impossible because  $((-\varepsilon; \varepsilon) + \text{cl}_{\mathbb{R}} V) \cap \text{cl}_{\mathbb{R}} V' = \emptyset$ .

Case 2.  $t \in \{0, 1\}$

We can assume that  $t = 1$ , because for  $t = 0$  the proof is analogous. Then  $q^{-1}([(p, 1)]_H) = \{(p, 1), (H(p), 0)\}$  and for  $j \in \{1, 2\}$  we have that  $(p, 1) \in \text{cl}_{K'} q^{-1}(F_j)$  or  $(H(p), 0) \in \text{cl}_{K'} q^{-1}(F_j)$ . Let us consider the case  $(p, 1) \in \text{cl}_{K'} q^{-1}(F_1)$  and  $(H(p), 0) \in \text{cl}_{K'} q^{-1}(F_2)$  (we can proceed quite similarly as with other cases). A set

$$A_1 = \{k \in \mathbb{Z} : (\{k\} \times (1 - \delta; 1]) \cap q^{-1}(F_1) \neq \emptyset\}$$

belongs to  $p$ , and by similar reasons a set

$$A_2 = \{k \in \mathbb{Z} : (\{k\} \times [0; \delta)) \cap q^{-1}(F_2) \neq \emptyset\}$$

belongs to  $H(p)$ . Since  $A_2 \in H(p)$  then  $A_2 - 1 \in p$ . Let  $k \in A_1 \cap (A_2 - 1)$ . Thus there exist points  $[(k, t_1)]_H \in F_1, [(k + 1, t_2)]_H \in F_2$  such that  $1 - \delta < t_1 \leq 1$  and  $0 \leq t_2 < \delta$ ; a contradiction.

So,  $\phi$  is the isomorphism of ambits.  $\square$

**Corollary.** *The phase space of the universal minimal dynamical system for the group  $\mathbb{R}$  is homeomorphic to the quotient space  $E(D^{2^\omega}) \times \mathbb{I}/H$ , where  $E(D^{2^\omega})$  denote the absolute of the Cantor cube  $D^{2^\omega}$  and  $H$  is a homeomorphism of  $E(D^{2^\omega})$ .*

PROOF: As the systems  $(\mathbb{R}, S(\mathbb{R}), \pi_{\mathbb{R}})$  and  $(\mathbb{R}, \beta\mathbb{Z} \times \mathbb{I}/H, \varrho)$  are isomorphic, the minimal subsets of these systems are isomorphic. In order to describe the structure of  $M(\mathbb{R})$ , it suffices to consider arbitrary minimal subset in the system  $(\mathbb{R}, \beta\mathbb{Z} \times \mathbb{I}/H, \varrho)$ . Let  $M$  be a minimal non-empty closed and invariant subset in  $\beta\mathbb{Z}$  for  $H: \beta\mathbb{Z} \rightarrow \beta\mathbb{Z}$ . Then  $M$  is homeomorphic to  $M(\mathbb{Z})$ , the phase space of universal minimal dynamical system for group  $\mathbb{Z}$ . It is not hard to see that a set  $M \times \mathbb{I}/H \subseteq \beta\mathbb{Z} \times \mathbb{I}/H$  is closed and invariant in the system  $(\mathbb{R}, \beta\mathbb{Z} \times \mathbb{I}/H, \varrho)$ . Moreover, an orbit of any point of  $M \times \mathbb{I}/H$  is dense in  $M \times \mathbb{I}/H$ . So,  $M \times \mathbb{I}/H$  is a minimal subset in  $(\mathbb{R}, \beta\mathbb{Z} \times \mathbb{I}/H, \varrho)$ . Balcar and Błaszczyk proved in [2] that the space  $M(\mathbb{Z})$  is an absolute of the Cantor cube  $D^{2^\omega}$ . Therefore, we can obtain  $M(\mathbb{R})$  if in the product of the absolute of Cantor cube  $D^{2^\omega}$  and closed segment  $[0; 1]$ ; the points  $(x, 1)$  and  $(H(x), 0)$  are identified.  $\square$

**Remark.** Since homeomorphism  $H \upharpoonright M$  has dense orbit then the space  $M(\mathbb{R}) \stackrel{\text{top}}{=} M \times \mathbb{I}/H$  is an indecomposable continuum (see [5]). Therefore,  $M(\mathbb{R})$  is so-called generalized solenoid.

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